Marohn Douglas W Form 4 February 15, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: Expires:

OMB APPROVAL

January 31, 2005

0.5

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10% Owner

Other (specify

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Marohn Douglas W

(Last) (First)

2454 MCMULLEN BOOTH RD, BLDG C #501B

(Street)

(State)

(Middle)

(Zip)

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

NICHOLAS FINANCIAL INC [NICK]

3. Date of Earliest Transaction (Month/Day/Year)

02/14/2018

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

President & CEO

Applicable Line)

_X__ Director

X_ Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

(City)

| | | Table | 1 - 14011-10 | erivative s | ecui i | ues Acq | juii cu, Disposcu o | i, oi benenciai | ly Owned |
|--------------------------------------|--------------------------------------|--|--------------|--------------|-----------|--------------------------------------|--|----------------------------------|----------------------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) | | | | 5. Amount of Securities Beneficially | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial | |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, | (A) or | | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
| Common Stock | 02/14/2018 | | Code V P | Amount 2,650 | (D) | Price \$ 9.07 | 2,650 | D | |
| Common Stock | 02/14/2018 | | A | 2,650 | A | \$ 0 (1) | 5,300 | D | |
| Common Stock | | | | | | | 39 | I | Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | | 4. | 5. | 6. Date Exerc | | 7. Title | | 8. Price of | 9. Nu |
|--------------------------------------|---|---------------------|---|-----------------|---|---------------------|--------------------|---------------------------------------|--|--------------------------------------|---|
| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | Amour Underl Securit (Instr. | lying | Derivative Security (Instr. 5) | Deriv Secur Bene Own Follo Repo Trans (Instr |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|-----------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Marohn Douglas W | | | | | | | |
| 2454 MCMULLEN BOOTH RD | X | | President & CEO | | | | |
| BLDG C #501B | Λ | | | | | | |
| CLEARWATER, FL 33759 | | | | | | | |

Signatures

/s/ Douglas

Marohn 02/15/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were awarded pursuant to the reporting person's employment agreement. The closing stock price of the issuer's common stock reported on NASDAQ on 2/14/18 was \$9.10.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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