

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

Nicholas-Applegate Equity & Convertible Income Fund
Form NSAR-A
September 25, 2009

PAGE 1
000 A000000 07/31/2009
000 C000000 0001383441
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 NICHOLAS-APPLEGATE EQUITY & CONVERT INCOME FD
001 B000000 811-21989
001 C000000 2127393371
002 A000000 1345 AVENUE OF AMERICAS
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10105
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-31227
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10105
008 A000002 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC
008 B000002 S
008 C000002 801-21442
008 D010002 SAN DIEGO
008 D020002 CA
008 D030002 92101
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-31227
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10105
012 A000001 PNC GLOBAL INVESTMENT SERVICING
012 B000001 84-58023
PAGE 2
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

013 B020001 NY
013 B030001 10017
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000001 8-041811
014 A000002 NICHOLAS-APPLEGATE SECURITIES LLC
014 B000002 8-045406
014 A000003 ALLIANZ LIFE FINANCIAL SERVICES LLC
014 B000003 8-013630
014 A000004 QUESTAR CAPITAL CORP.
014 B000004 8-050174
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 78
019 C000000 ALLIANZGLO
020 A000001 INSTINET LLC
020 B000001 13-2625132
020 C000001 5
020 A000002 HOWARD WEILL, INC.
020 C000002 3
020 A000003 DEUTSCHE BANK AG
020 B000003 13-2944980
020 C000003 3
020 A000004 JANNEY MONTGOMERY SCOTT, LLC
020 B000004 23-0731260
020 C000004 2
020 A000005 LAZARD FRERES AND CO. LLC
020 B000005 13-5545100
020 C000005 2
020 A000006 JEFFERIES GROUP, INC.
020 B000006 95-4719745
020 C000006 1
020 A000007 BANK OF AMERICA CORP.
020 B000007 56-2058466
020 C000007 1
020 C000008 0
020 C000009 0
020 C000010 0
021 000000 17
022 A000001 CREDIT SUISSE GROUP
PAGE 3
022 B000001 13-5659485
022 C000001 22432
022 D000001 22416
022 A000002 BARCLAYS CAPITAL, INC.
022 B000002 05-0364642
022 C000002 15320
022 D000002 17237
022 A000003 WELLS FARGO & CO.
022 B000003 41-1508325
022 C000003 9074
022 D000003 9068
022 A000004 MORGAN STANLEY
022 B000004 36-3145972
022 C000004 11418
022 D000004 6092
022 A000005 PERSHING LLC

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

022 B000005 13-2741729
 022 C000005 1389
 022 D000005 892
 022 A000006 OPPENHEIMER HOLDINGS, INC.
 022 B000006 98-0080034
 022 C000006 1129
 022 D000006 1128
 022 A000007 JEFFERIES GROUP, INC.
 022 B000007 95-4719745
 022 C000007 1856
 022 D000007 0
 022 A000008 BANK OF AMERICA CORP.
 022 B000008 56-2058466
 022 C000008 1394
 022 D000008 0
 022 A000009 JP MORGAN CHASE & CO.
 022 B000009 13-2624428
 022 C000009 1210
 022 D000009 0
 022 A000010 CITIGROUP, INC.
 022 B000010 52-1568099
 022 C000010 0
 022 D000010 1144
 023 C000000 65329
 023 D000000 57978
 024 000000 Y
 025 A000001 WELLS FARGO & CO.
 025 B000001 41-1508325
 025 C000001 D
 025 D000001 7973
 025 A000002 CITIGROUP, INC.
 025 B000002 52-1568099
 025 C000002 E
 025 D000002 3905
 025 A000003 BANK OF AMERICA CORP.
 PAGE 4
 025 B000003 56-2058466
 025 C000003 E
 025 D000003 3549
 025 A000004 JP MORGAN CHASE & CO.
 025 B000004 13-2624428
 025 C000004 E
 025 D000004 3239
 025 A000005 DELETE
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 N
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0
 028 D030000 0
 028 D040000 0
 028 E010000 0
 028 E020000 0
 028 E030000 0
 028 E040000 0
 028 F010000 0
 028 F020000 0
 028 F030000 0
 028 F040000 0
 028 G010000 0
 028 G020000 0
 028 G030000 0
 028 G040000 0
 028 H000000 0

PAGE 5

030 A000000 0
 030 B000000 0.00
 030 C000000 0.00
 031 A000000 0
 031 B000000 0
 032 000000 0
 033 000000 0
 035 000000 0
 036 B000000 0
 038 000000 0
 042 A000000 0
 042 B000000 0
 042 C000000 0
 042 D000000 0
 042 E000000 0
 042 F000000 0
 042 G000000 0
 042 H000000 0
 043 000000 0
 044 000000 0
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.000
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 PAGE 6
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 E000000 Y

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N
 070 A010000 Y
 PAGE 7
 070 A020000 N
 070 B010000 Y
 070 B020000 Y
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 Y
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 Y
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 070 L020000 N
 070 M010000 Y
 070 M020000 N
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 070 P010000 N
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 80682
 071 B000000 80403
 071 C000000 311335
 071 D000000 26
 072 A000000 6
 072 B000000 2466
 072 C000000 4426
 072 D000000 0
 072 E000000 64
 072 F000000 1567
 072 G000000 0
 072 H000000 0
 072 I000000 14
 072 J000000 34
 072 K000000 0
 072 L000000 28
 PAGE 8
 072 M000000 27
 072 N000000 0
 072 O000000 0
 072 P000000 0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

| | |
|-------------|--------|
| 072 Q000000 | 0 |
| 072 R000000 | 36 |
| 072 S000000 | 9 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 22 |
| 072 X000000 | 1737 |
| 072 Y000000 | 0 |
| 072 Z000000 | 5219 |
| 072AA000000 | 0 |
| 072BB000000 | 477 |
| 072CC010000 | 70566 |
| 072CC020000 | 0 |
| 072DD010000 | 12490 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.5600 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 7973 |
| 074 D000000 | 64839 |
| 074 E000000 | 33724 |
| 074 F000000 | 259514 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 0 |
| 074 J000000 | 1057 |
| 074 K000000 | 0 |
| 074 L000000 | 1578 |
| 074 M000000 | 12 |
| 074 N000000 | 368697 |
| 074 O000000 | 5292 |
| 074 P000000 | 292 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 990 |
| 074 R040000 | 180 |
| 074 S000000 | 0 |
| 074 T000000 | 361943 |
| 074 U010000 | 22304 |
| 074 U020000 | 0 |
| 074 V010000 | 16.23 |
| PAGE 9 | |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 8 |
| 074 Y000000 | 9130 |
| 075 A000000 | 0 |
| 075 B000000 | 315934 |
| 076 000000 | 14.86 |
| 077 A000000 | Y |
| 077 B000000 | N |
| 077 C000000 | Y |
| 077 D000000 | N |
| 077 E000000 | N |
| 077 F000000 | N |
| 077 G000000 | N |

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000 0
081 B000000 0
082 B000000 0
083 B000000 0
084 B000000 0
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 65370K100
087 A030000 NIE
087 B010000 CONVERTIBLE BONDS & NOTES
087 B020000 65370K100
087 B030000 NIE
087 C010000 CONVERTIBLE PREFERRED STOCK
PAGE 10
087 C020000 65370K100
087 C030000 NIE
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE LAWRENCE ALTADONNA
TITLE TREASURER & CEO