### CONSTELLATION ENERGY GROUP INC

Form 5 February 13, 2001

# U.S. SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 5

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Section 17(a) of t	ection 16(a) of the Secur he Public Utility Holding ( (f) of the Investment Compa	
[_] Check box if no long may continue. See In	=	Form 4 or Form 5 obligations
[_] Form 3 Holdings Repo	rted	
[_] Form 4 Transactions	Reported	
1. Name and Address of	Reporting Person*	
Baldwin	н.	Furlong
(Last)	(First)	(Middle)
Mercantile Bankshares Cor	poration P.O. Box 1477	
	(Street)	
Baltimore, MD 21203		
(City)	(State)	(Zip)
2. Issuer Name and Tick Constellation Energy Grou (CEG)	er or Trading Symbol	
3. IRS Identification N	Tumber of Reporting Person,	if an Entity (Voluntary)
4. Statement for Month/ December 31, 2000	Year	
5. If Amendment, Date o	f Original (Month/Year)	

6. Relationship of Reporting Person to Issuer

(Check all applicable)

[_]	10% Owner Other (speci	fy below)				
g						
[X] Form filed by one Reporting Person [_] Form filed by more than one Reporting Person						
			==			
eficially Owne	d		==			
		Disposed of (D) (Instr. 3, 4 and 5				
Transaction Date	Transaction Code		(A)	Price		
	g Person e Reporting Person Securities Acceptically Owner (mm/dd/yy)	[_] Other (special content of the co	[_] Other (specify below)  g g g Person e Reporting Person  Securities Acquired, Disposed of, eficially Owned  4. Securities Acquireds A	[_] Other (specify below)  g g Person e Reporting Person  Securities Acquired, Disposed of, eficially Owned  4. Securities Acquired Disposed of (D) (Instr. 3, 4 and 5) 2. Transaction Transaction (A) Date Code Amount or (mm/dd/yy) (Instr. 8) (D)		

\* If the form is filed by more than one Reporting Person, see Instruction  $4\,\mbox{(b)}\,\mbox{(v)}\,.$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 5-07/99)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

\_\_\_\_\_\_

1.	Conversion or Exercise Price	3. Trans- action		5. Number of Derivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
Title of	of Deriv-			(Insti					Amount or
Derivative		(Month/	Code	4 and 5)		Date Expira-			Number
Security		Day/				Exer-			of
(Instr. 3)	ity	Year)	8)	(A)	(D)	cisable	Date	Title	Shares
Deferred Stock Uni	ts(1)	(1)	A	1231.	.037	(2)	(2) Co	ommon Stock	1231.037
=======================================			.======				.======		

#### Explanation of Responses:

(1) Units were accrued on various dates between January 2000 and December 2000 under Constellation Energy Group's Deferred Compensation Plan for Non-Employee Directors at prices ranging from \$29.1083 to \$51.2240.

(2) The Units are settled in cash only upon the reporting person's termination of service as a director, or earlier upon reaching age 70, if elected by the reporting person.

/s/ H. Furlong Baldwin 2/6/01
-----\*\*Signature of Reporting Person Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.

If space provided is insufficient, see Instruction 6 for procedure.

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t size="2">3A. Deemed Execution Date, if any (Month/Day/Year)4. Transaction Code

(Instr. 8)5. Number of Derivative Securities Acquired (A) or Disposed of (D)

(Instr. 3, 4, and 5)6. Date Exercisable and Expiration Date

(Month/Day/Year)7. Title and Amount of Underlying Securities

(Instr. 3 and 4)8. Price of Derivative Security

(Instr. 5)9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 4)10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)

(Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares Stock Option (Right to Buy) \$

21,593 (1)10/29/2014 Common Stock 21,593 \$ 0 0 D Stock Option (right to Buy) \$ 17.29 15.0409/15/2014 M<sup>(7)</sup> (1) 08/01/2015 Common Stock 13,915 13,915 D Stock Option (Right to Buy) \$ 15.45 Common Stock 16,562 16,562 D Stock Option (Right to Buy) \$ 40.03 (1)08/02/2017 Common Stock 12,574 12,574 D Stock Option (Right to Buy) \$ 127.21 (1)07/31/2018 Common Stock 2,926 2,926 D Stock Option (Right to Buy) \$ 52.72 (1)07/27/2019 Common Stock 6,611 6,611 D Stock Option (Right to (1)07/27/2020 Common Stock 10,130 10,130 D Stock Option (Right to Buy) \$ 70.62 (1)07/21/2021 Common Stock 6,460 6,460 D Stock Option (Right to Buy) \$ 57.62 (4)07/19/2022 Common Stock 11,655 D Restricted Stock Units \$ 0 (2) 07/19/2015 (3) Common Stock 4,628 4,628 D Stock (5)07/18/2023 Common Stock 15,783 D Restricted Stock Units \$ 0 Option (Right to Buy) \$ 54.03 07/18/2016 (3) Common Stock 6,169 6,169 D Stock Option (Right to Buy) \$ 49.73 Common Stock 17,740 17,740 D Restricted Stock Units \$ 0 (2) 03/07/2017 (3) Common Stock 6,703 6,703

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

McLellan Richard N C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

Sr Vice President-Commercial

Reporting Owners 4

## **Signatures**

/s/ Mark J. Isaacson, Attorney in Fact for Richard N. McLellan

09/17/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) The stock option exercise and sale were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2014.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.14 to \$46.53, inclusive. The reporting person undertakes to provide to The Mosaic Company, any security holder of The Mosaic Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote 8 to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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