

OCEANFIRST FINANCIAL CORP

Form 4

March 16, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MCLAUGHLIN DONALD E2. Issuer Name and Ticker or Trading Symbol  
OCEANFIRST FINANCIAL CORP  
[OCFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

975 HOOPER AVENUE

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/15/2017☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

TOMS RIVER, NJ 08754

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|
| Common Stock                    | 03/15/2017                           |                                                    | A                              | 1,335 A \$ 0                                                      | 38,712                                                                                        | D <sup>(1)</sup>                                         |                                   |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 5,339                                                                                         | I                                                        | By Spouse <sup>(2)</sup>          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 | 8. Price of Underlying Security (Instr. 3 and 4) |                            |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----|---------------------------------------------------------------|-----------------|--------------------------------------------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D) | Date Exercisable                                              | Expiration Date | Title                                            | Amount or Number of Shares |
| Stock Option (right to buy)                | \$ 16.81                                               |                                      |                                                    |                                |                                                                                         |                                                          |     | 02/20/2009                                                    | 02/20/2018      | Common Stock                                     | 2,363                      |
| Stock Option (right to buy)                | \$ 12.28                                               |                                      |                                                    |                                |                                                                                         |                                                          |     | 02/18/2010                                                    | 02/18/2019      | Common Stock                                     | 2,363                      |
| Stock Option (right to buy)                | \$ 10                                                  |                                      |                                                    |                                |                                                                                         |                                                          |     | 02/17/2011                                                    | 02/17/2020      | Common Stock                                     | 3,310                      |
| Stock Option (right to buy)                | \$ 13.87                                               |                                      |                                                    |                                |                                                                                         |                                                          |     | 02/18/2012                                                    | 02/18/2021      | Common Stock                                     | 3,500                      |
| Stock Option (right to buy)                | \$ 13.83                                               |                                      |                                                    |                                |                                                                                         |                                                          |     | 02/15/2013                                                    | 02/15/2022      | Common Stock                                     | 3,500                      |
| Stock Option (right to buy)                | \$ 14.62                                               |                                      |                                                    |                                |                                                                                         |                                                          |     | 02/15/2014                                                    | 02/15/2023      | Common Stock                                     | 3,500                      |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

MCLAUGHLIN DONALD E  
975 HOOPER AVENUE X  
TOMS RIVER, NJ 08754

## Signatures

/s/ Steven J. Tsimbinos, Power of Attorney 03/16/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares awarded. Such shares vest in five equal annual installments beginning on March 1, 2018. Total includes other restricted shares that vest in the future.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16a-11.
- (3) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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