Edgar Filing: MCPHERSON FRANK A - Form 4

MCPHERSON F	FRANK A												
Form 4	-												
October 12, 2006									0	MB APPRC	١\/ΔΙ		
FORM 4	UNITEI) STATES	S SECURITII Washing	ES AND [gton, D.C		GE C	OMMIS	SSION	OMB Numb	30	35-0287		
Check this boy if no longer subject to Section 16. Form 4 or Form 5 obligations	5 IN BENEFICIAL OWNERSHIP O CURITIES of the Securities Exchange Act of 1934 Holding Company Act of 1935 or Sect					Expires:January 31, 2005Estimated average burden hours per response0.5		2005 ge					
may continue. See Instruction 1(b).			of the Investr	•	· ·			Section					
(Print or Type Respo	onses)												
1. Name and Addres	-	g Person <u>*</u>	2. Issuer Nam Symbol TRI-CONTI		-	[]	5. Relatic Issuer	-	-	ng Person(s)	to		
(Month/E			3. Date of Earli (Month/Day/Ye 10/11/2006	-				(Check all applicable) <u>X</u> Director <u>10%</u> Owner <u>Officer (give title</u> Other (specify below) <u>below</u>)					
(Street) 4. If Amendme Filed(Month/Da					ginal	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person							
NEW YORK, N	Y 10017									One Reporting	g		
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securitie	s Acqu	uired, Dis	posed of,	or Ben	eficially Ow	ned		
1.Title of Security (Instr. 3)	2. Transacti (Month/Day	any	ecution Date, if	3. Transactic Code (Instr. 8)	4. Securities A nor Disposed of (Instr. 3, 4 an)	of (D)	red (A)	5. Amou Securitie Beneficia Owned Followin Reported Transact	s ally g	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirec Beneficia Ownershi (Instr. 4)		
Tri-Continental Corporation	10/11/200)6		Code V S	Amount 1,890.359	or (D)	Price \$ 21.16	(Instr. 3 a 54,725.	and 4)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	ctionN o S) D S A (4 C C (1	5. 6. Date Exercisable a pNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)		
			Code	V (.	A) (I		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer Oth						
MCPHERSON FRANK A 100 PARK AVENUE NEW YORK, NY 10017	Х								
Signatures									
Albert A. Pisano, power of attorney		10/12/2006							
**Signature of Reporting Person		Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.