

UNITED TECHNOLOGIES CORP /DE/
Form 3/A
July 03, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Valentine Debra A
(Last) (First) (Middle)

UNITED TECHNOLOGIES CORPORATION,Â ONE FINANCIAL PLAZA

(Street)

HARTFORD,Â CTÂ 06101

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
04/11/2007

3. Issuer Name and Ticker or Trading Symbol

UNITED TECHNOLOGIES CORP /DE/ [UTX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
VP, Deputy GC and Secretary

5. If Amendment, Date Original Filed(Month/Day/Year)

04/20/2007

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	25,534	D	Â
Common Stock	420.909	I	By Savings Plan Trustee
Common Stock (Restricted)	10,000	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 3/A

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option (right to buy)	01/12/2007	01/11/2014	Common Stock	31,600	\$ 46.4749	D	Â
Non-Qualified Stock Option (right to buy)	01/03/2008	01/02/2015	Common Stock	32,000	\$ 51.5	D	Â
Restricted Stock Units	Â ⁽¹⁾	Â ⁽¹⁾	Common Stock	12,057.9601	\$ ⁽¹⁾	D ⁽²⁾	Â
Stock Appreciation Right	01/03/2009	01/02/2016	Common Stock	16,200	\$ 56.53	D ⁽³⁾	Â
Stock Appreciation Right	01/03/2010	01/02/2017	Common Stock	20,500	\$ 62.81	D ⁽⁴⁾	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Valentine Debra A UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD,Â CTÂ 06101	Â	Â	Â VP, Deputy GC and Secretary	Â

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 07/03/2007

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Restricted Stock Units vest on retirement from UTC on or after age 62 with a minimum of three years of service as a member of UTC's Executive Leadership Group.

(2) These shares were inadvertently omitted from the reporting person's original Form 3.

The reporting person was also awarded 4,980 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

(4) The reporting person was also awarded 5,600 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 3/A

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.