### CITY OF LONDON INVESTMENT GROUP PLC

Form 4

December 05, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

**OMB** Number:

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person *
CITY OF LONDON INVESTMENT
GROUP PLC

(Last) (First) (Middle)

77 GRACECHURCH STREET, LONDON ENGLAND

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading Symbol

### CHINA FUND INC [CHN]

3. Date of Earliest Transaction (Month/Day/Year)

12/04/2017

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner Director \_\_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

> Form filed by One Reporting Person X Form filed by More than One Reporting

#### LONDON, X0 EC3V0AS

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						icially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share							308,857 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share	12/04/2017	12/04/2017	P	3,534	A	\$ 21.41	91,654 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par							250,030 (12)	I	As investment adviser to a

value \$.01 per share		private investment fund (13)
Common Stock, par value \$.01 per share (4)	172,029 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share	321,486 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (6)	168,067 <u>(12)</u> I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (13)
Common Stock, par value \$.01 per share	149,936 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (8)	305,041 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share	46,658 <u>(12)</u> I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (10)	42,096 <u>(12)</u> I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (11)	2,240,561 I	As investment adviser to unaffiliated third-party segregated accounts (13)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	<ol><li>Date Exerc</li></ol>	isable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amou	int of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date	Expiration	Title	Number	
						Exercisable	Date	11110	of	
				Code V	(A) (D)				Shares	
					( ) (-)					

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
referring of the randor random	Director	10% Owner	Officer	Other		
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		X				
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		X				

# **Signatures**

/s/ Barry Olliff, Director - City of London Group PLC	12/05/2017
**Signature of Reporting Person	Date
/s/ Barry Olliff, Director - City of London Investment Management Company Limited	12/05/2017
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 3

- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by 14 unaffiliated third-party segregated accounts.
- (12) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.
- As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company (13) Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 14 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.