

PUGET ENERGY INC /WA
Form 5
February 11, 2002

FORM 5 ☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). ☐ Form 3 Holdings Reported ☐ Form 4 Transactions Reported	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940				OMB APPROVAL OMB Number: 3235-0362 Expires: December 31, 2001 Estimated average burden hours per response. . . . 0.5
1. Name and Address of Reporting Person* Hogan, Timothy J. (Last) (First) (Middle) 411 108th Avenue NE, 15th Floor (Street) Bellevue, WA 98004-5515 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol Puget Energy, Inc. PSD 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for (Month/Year) December 2001 5. If Amendment, Date of Original (Month/Year)	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) _____ Director _____ 10% Owner ☒ Officer _____ Other _____ Officer/Other Description Vice President External Affairs of Puget Sound Energy, Inc. 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Individual Filing ☐ Joint/Group Filing		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned at End of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Amount A/D Price	4,049.0000	D	
Common				3,989.8040	I	By 401(k)

If the form is filed by more than one reporting person, see instruction 4(b)(v).

(over)
SEC 2270 (3-99)

Hogan, Timothy J. - December 2001

Form 5 (continued)[illegible]

Explanation of Responses :

** Intentional misstatements or omissions of facts _____
constitute Federal Criminal Violations. _____

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of

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which must be manually signed. If space is insufficient,

Timothy J. Hogan

See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB number.

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Form 5 (continued)

FOOTNOTE Descriptions for Puget Energy, Inc. PSD

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Timothy J. Hogan
411 108th Avenue NE, 15th Floor
Bellevue, WA 98004-5515

Explanation of responses:

- (1) LTIP Share Grants under the Puget Sound Energy Long-Term Incentive Plan for Officers and Key Employees of the Company and its subsidiaries. LTIP Shares are paid in Puget Energy stock when vested in an amount ranging from zero to 175% of LTIP Share Grants.
- (2) 0 shares were awarded for the 1998-2001 LTIP cycle grants. Outstanding share grants were forfeited.
- (3) Phantom share units deferred in the Puget Sound Energy Deferred Compensation Plan.
- (4) One common share will be received for each stock unit.
- (5) This transaction reflects the vesting of phantom share units in the Deferred Compensation Plan.
- (6) 1996-99 LTIP share award that was restricted until 12/31/01. The original award was vested in the Deferred Compensation Plan and dividend equivalents for 2000 and 2001 were paid in stock and cash.

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