

WHIRLPOOL CORP /DE/
Form 3
November 10, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Liotine Joseph T. | | (Month/Day/Year) | WHIRLPOOL CORP /DE/ [WHR] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 11/01/2014 | | |
| 2000 M-63N | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) | |
| BENTON | | | EXEC VICE PRESIDENT & PRES NA | |
| HARBOR,Â MIÂ 49022 | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 5,076 | D | Â |
| Common Stock | 1,398.203 | I | 401(k) Stock Fund |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|------------------|-----------------|--------|----------------------------|----------------|---------------------------------------|---|
| Employee Stock Option (Right to Buy) | Â <u>(1)</u> | 02/14/2021 | Common | 363 | \$ 85.45 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(2)</u> | 02/20/2022 | Common | 1,331 | \$ 71.03 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(3)</u> | 02/18/2023 | Common | 1,607 | \$ 111.33 | D | Â |
| Employee Stock Option (Right to Buy) | Â <u>(4)</u> | 02/17/2024 | Common | 3,267 | \$ 138.56 | D | Â |
| Restricted Stock Units | Â <u>(5)</u> | Â <u>(5)</u> | Common | 120 | \$ <u>(5)</u> | D | Â |
| Restricted Stock Units | Â <u>(6)</u> | Â <u>(6)</u> | Common | 273 | \$ <u>(6)</u> | D | Â |
| Restricted Stock Units | Â <u>(7)</u> | Â <u>(7)</u> | Common | 92 | \$ <u>(7)</u> | D | Â |
| Restricted Stock Units | Â <u>(8)</u> | Â <u>(8)</u> | Common | 5,000 | \$ <u>(8)</u> | D | Â |
| Restricted Stock Units | Â <u>(9)</u> | Â <u>(9)</u> | Common | 326 | \$ <u>(9)</u> | D | Â |
| Restricted Stock Units | Â <u>(10)</u> | Â <u>(10)</u> | Common | 495 | \$ <u>(10)</u> | D | Â |
| Restricted Stock Units | Â <u>(11)</u> | Â <u>(11)</u> | Common | 248 | \$ <u>(11)</u> | D | Â |
| Restricted Stock Units | Â <u>(12)</u> | Â <u>(12)</u> | Common | 744 | \$ <u>(12)</u> | D | Â |
| Restricted Stock Units | Â <u>(13)</u> | Â <u>(13)</u> | Common | 10,000 | \$ <u>(13)</u> | D | Â |
| Restricted Stock Units | Â <u>(14)</u> | Â <u>(14)</u> | Common | 248 | \$ <u>(14)</u> | D | Â |
| Restricted Stock Units | Â <u>(15)</u> | Â <u>(15)</u> | Common | 744 | \$ <u>(15)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Liotine Joseph T. 2000 M-63N BENTON HARBOR,Â MIÂ 49022 | Â | Â | Â EXEC VICE PRESIDENT & PRES NA | Â |

Signatures

/s/ Bridget K. Quinn,
Attorney-in-Fact

11/10/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in three substantially equal annual installments beginning on February 14, 2012.

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- (2) The option became exercisable in three substantially equal annual installments beginning on February 20, 2013.
- (3) The option becomes exercisable in three substantially equal annual installments, beginning on February 18, 2014.
- (4) The option becomes exercisable in three substantially equal annual installments, beginning on February 17, 2015.
- (5) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 20, 2015.
- (6) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 20, 2015.
- (7) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 20, 2015.
- (8) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 20, 2016.
- (9) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: one-third on February 18, 2015 and one-third on February 18, 2016.
- (10) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 18, 2016.
- (11) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: one-third on February 17, 2015; one-third on February 17, 2016; and one-third on February 17, 2017.
- (12) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: one-third on February 17, 2015; one-third on February 17, 2016; and one-third on February 17, 2017.
- (13) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares as follows: 50% on February 17, 2017 and 50% on February 17, 2018.
- (14) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 17, 2017.
- (15) Restricted stock unit represents the right to receive one share of Whirlpool common stock. Restricted stock units will vest and convert one-for-one to shares on February 17, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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