

IKONICS CORP  
Form SC 13G/A  
February 13, 2017

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**SCHEDULE 13G**

**Under the Securities Exchange Act of 1934  
(Amendment No. 13)\***

**Ikonics Corporation**

(Name of Issuer)

**Common Stock**

(Title of Class of Securities)

**45172K102**

(CUSIP Number)

**December 31, 2016**

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d-1(b)
- ☐ Rule 13d-1(c)
- ☒ Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 45172K102

13G

- 1 Names of Reporting Persons  
I.R.S. Identification Nos. of Above Persons (Entities Only).

Gerald W. Simonson

- 2 Check the Appropriate Box if a Member of a Group\*

(a) ☐(b) ☐

Not applicable

- 3 SEC Use Only

- 4 Citizenship or Place of Organization  
United States

Number of Shares Beneficially Owned by Each Reporting Person With:	5	Sole Voting Power 118,995
	6	Shared Voting Power 0
	7	Sole Dispositive Power 118,995
	8	Shared Dispositive Power 0

- 9 Aggregate Amount Beneficially Owned by Each Reporting Person  
118,995

- 10 Check if the Aggregate Amount in Row (9) Excludes Certain Shares\*  
N/A

- 11 Percent of Class Represented by Amount in Row (9)  
5.9%

- 12 Type of Reporting Person (See Instructions)  
IN

**Item 1.**

- (a) Name of Issuer  
Ikonics Corporation
- (b) Address of Issuer's Principal Executive Offices  
4832 Grand Avenue  
  
Duluth, MN 55807

**Item 2.**

- (a) Name of Person Filing  
Gerald W. Simonson
- (b) Address of Principal Business Office or, if none, Residence  
7260 Commerce Circle East  
  
Minneapolis, Minnesota 55432
- (c) Citizenship  
United States
- (d) Title of Class of Securities  
Common Stock
- (e) CUSIP Number  
45172K102

**Item 3.**

**If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

- |     |                       |   |
|-----|-----------------------|---|
| (a) | <input type="radio"/> | Broker or dealer registered under section 15 of the Act.  |
| (b) | <input type="radio"/> | Bank as defined in section 3(a)(6) of the Act.  |
| (c) | <input type="radio"/> | Insurance company as defined in section 3(a)(19) of the Act.  |
| (d) | <input type="radio"/> | Investment company registered under section 8 of the Investment Company Act of 1940.  |
| (e) | <input type="radio"/> | An investment adviser in accordance with § 240.13d-1(b)(1)(ii)(E).  |
| (f) | <input type="radio"/> | An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F).   |
| (g) | <input type="radio"/> | A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G).   |
| (h) | <input type="radio"/> | A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act.  |
| (i) | <input type="radio"/> | A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940. |
| (j) | <input type="radio"/> | Group, in accordance with § 240.13d-1(b)(1)(ii)(J).   |

**Item 4.**

**Ownership**

- |     |   |  |
|-----|---|--|
| (a) | Amount beneficially owned                     |  |
|     |   | 118,995  |
| (b) | Percent of class                              |  |
|     |   | 5.9%   |
| (c) | Number of shares as to which such person has: |  |
|     | (i)   | Sole power to vote or to direct the vote                           |
|     | (ii)  | 118,995<br>Shared power to vote or to direct the vote              |
|     | (iii)   | 0<br>Sole power to dispose or to direct the disposition of         |
|     | (iv)  | 118,995<br>Shared power to dispose or to direct the disposition of |
|     |   | 0  |

**Item 5.**

**Ownership of Five Percent or Less of a Class**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ☐ O.

Not Applicable

**Item 6.**

**Ownership of More than Five Percent on Behalf of Another Person.**

Not Applicable

**Item 7.**

**Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person**

Not Applicable

**Item 8.**

**Identification and Classification of Members of the Group**

Not Applicable

**Item 9.**

**Notice of Dissolution of Group**

Not Applicable

**Item 10. Certification**  
Not Applicable

**Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 13, 2017  
Date

/s/ Gerald W. Simonson  
Signature

Gerald W. Simonson  
Name/Title

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

*NOTE:* Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. *See* § 240.13d-7 for other parties for whom copies are to be sent.

**ATTENTION:**  
**Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001)**