Rogers Doug Form 4 December 26, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Rogers Doug

2. Issuer Name and Ticker or Trading

Symbol

**BASIC ENERGY SERVICES INC** 

[BAS]

3. Date of Earliest Transaction

12/23/2018

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last) (First) (Middle)

(Month/Day/Year)

below)

Director 10% Owner X\_ Officer (give title Other (specify

VP - Marketing

801 CHERRY STREET, SUITE 2100

(Street)

(State)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

FORT WORTH, TX 76102

(City)

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|------------|---|--------------------|-----------------------------------|-------------------------|-----------|------------|------------------|--------------|--------------|
| 1.Title of | 2. Transaction Date   | 2A. Deemed         | 3.                                | 4. Securities Acquired  |           |            | 5. Amount of     | 6. Ownership | 7. Nature of |
| Security   | (Month/Day/Year)  | Execution Date, if | Transaction(A) or Disposed of (D) |                         |           | Securities | Form: Direct     | Indirect     |              |
| (Instr. 3) |   | any                | Code                              | ode (Instr. 3, 4 and 5) |           |            | Beneficially     | (D) or       | Beneficial   |
|            |   | (Month/Day/Year)   | (Instr. 8)                        |                         |           |            | Owned            | Indirect (I) | Ownership    |
|            |   |                    |                                   |                         |           |            | Following        | (Instr. 4)   | (Instr. 4)   |
|            |   |                    |                                   |                         | ( )       |            | Reported         |              |              |
|            |   |                    |                                   |                         | (A)       |            | Transaction(s)   |              |              |
|            |   |                    | Code V                            | Amount                  | or<br>(D) | Price      | (Instr. 3 and 4) |              |              |
| C          |   |                    | Code v                            | Amount                  | (D)       |            |                  |              |              |
| Common     | 12/23/2018  |                    | M                                 | 10,792                  | Α         | \$0        | 18,726           | D            |              |
| Stock      | ,,  |                    |                                   | ,                       |           | (1)        | ,,               | _            |              |
| Common     |   |                    |                                   |                         |           | ¢          |                  |              |              |
| Common     | 12/23/2018  |                    | $F^{(2)}$                         | 2,642                   | D         | <b>)</b>   | 16,084           | D            |              |
| Stock      |   |                    |                                   | , -                     |           | 4.16       | -,               |              |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Rogers Doug - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5) | Expiration I<br>(Month/Day | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|--|----------------------------|--|-----------------|---|--|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable        | Expiration<br>Date                                       | Title           | Amount<br>or<br>Number<br>of Shares                                 |  |
| Restricted<br>Stock                                 | <u>(1)</u>  | 12/23/2018                              |   | M                                     | 10,792   | 2 (3)                      | (3)  | Common<br>Stock | 10,792  |  |

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rogers Doug

801 CHERRY STREET SUITE 2100

VP - Marketing

FORT WORTH, TX 76102

## **Signatures**

/s/ David Schorlemer, Attorney-in-Fact

12/26/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- In connection with the vesting of restricted stock units, the Issuer withheld vested shares of common stock and agreed to satisfy the

  (2) Reporting Person's tax withholding obligations in lieu of cash. The number of vested shares withheld was based on the closing price of the Issuer's common stock on December 21, 2018. Such shares were acquired as treasury stock by the Issuer.
- On December 23, 2016, the Reporting Person was granted 32,377 restricted stock units, which vested in three equal annual installments beginning on December 23, 2016. On February 8, 2018, the Reporting Person (i) earned 32,377 performance-based Restricted Stock Units, which vest in three equal annual installments beginning on February 8, 2018 and (ii) was granted 5,935 Restricted Stock Units, which vest in three equal annual installments beginning on March 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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