

ILLUMINA INC
Form 4
July 16, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HENRY CHRISTIAN O

(Last) (First) (Middle)

5200 ILLUMINA WAY

(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction
(Month/Day/Year)
07/15/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr VP & Chief Commercial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	07/15/2014		M		10,000 (1)	A	\$ 69.34 38,519 D
Common Stock	07/15/2014		S		1,602 (1)	D	\$ 170.7648 36,917 D (2)
Common Stock	07/15/2014		S		6,033 (1)	D	\$ 171.9201 30,884 D (3)
Common Stock	07/15/2014		S		1,231 (1)	D	\$ 172.8142 29,653 D (4)

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Common Stock	07/15/2014	S	800 ⁽¹⁾	D	\$ 173.8275 <u>(5)</u>	28,853	D
Common Stock	07/15/2014	S	334 ⁽¹⁾	D	\$ 175.262 <u>(6)</u>	28,519	D
Common Stock	07/15/2014	M	10,000 <u>(1)</u>	A	\$ 37.04	38,519	D
Common Stock	07/15/2014	S	6,000 <u>(1)</u>	D	\$ 175.6299 <u>(7)</u>	32,519	D
Common Stock	07/15/2014	S	3,200 <u>(1)</u>	D	\$ 176.5188 <u>(8)</u>	29,319	D
Common Stock	07/15/2014	S	800 ⁽¹⁾	D	\$ 177.5412 <u>(9)</u>	28,519	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Am or Nur of S	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Am or Nur of S
Non-Qualified Stock Option (right to buy)	\$ 37.04	07/15/2014		M	10,000 <u>(1)</u>	02/27/2010 01/27/2020	Common Stock	10	
Non-Qualified Stock Option (right to buy)	\$ 69.34	07/15/2014		M	10,000 <u>(1)</u>	02/28/2011 01/31/2021	Common Stock	10	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HENRY CHRISTIAN O 5200 ILLUMINA WAY SAN DIEGO, CA 92122			Sr VP & Chief Commercial Officer	

Signatures

By: Scott M. Davies For: Christian O.
Henry

07/16/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale was made pursuant to a 10b5-1 plan.
- (2) Weighted average sales price representing 1,602 shares sold ranging from \$170.10 to \$171.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sales price representing 6,033 shares sold ranging from \$171.44 to \$172.40 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sales price representing 1,231 shares sold ranging from \$172.44 to \$173.12 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sales price representing 800 shares sold ranging from \$173.79 to \$173.84 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Weighted average sales price representing 334 shares sold ranging from \$175.25 to \$175.28 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sales price representing 6,000 shares sold ranging from \$175.28 to \$176.24 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (8) Weighted average sales price representing 3,200 shares sold ranging from \$176.27 to \$176.94 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (9) Weighted average sales price representing 800 shares sold ranging from \$177.48 to \$177.79 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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