

Edgar Filing: VERSICOR INC /CA - Form 4/A

VERSICOR INC /CA
Form 4/A
June 07, 2002

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

| | | |
|------------------|------------|----------|
| Cavanaugh | James | H. |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| 44 Nassau Street | | |
| ----- | | |
| (Street) | | |
| Princeton | New Jersey | 08542 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

Versicor Inc. (VERS)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

February 2002

5. If Amendment, Date of Original (Month/Year)

March 7, 2002

6. Relationship of Reporting Person to Issuer
(Check all applicable)

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Director
 10% Owner
 Officer (give title below)
 Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

 Form filed by one Reporting Person
 Form filed by more than one Reporting Person

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 Table I -- Non-Derivative Securities Acquired, Disposed of,
 or Beneficially Owned
 =====

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|---------------------------------------|-----------------------------------------|-----------------------------------------|---|----------------------------------------------------------------------------|-----------|-------|
| | | Code | V | Amount | or (D) | Price |
| Common Stock, \$.001 par value | 2/19/02 | J(1) | | 10,179 | A | N/A |
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* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response) (Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| Right to buy (stock option) | \$20.31 | 2/7/02 | A V | 5,000 | (3) 2/7/12 | Common Stock 5,000 |

Explanation of Responses:

- (1) Represents a prorata distribution from HealthCare Partners V, L.P. ("HCPV"), the general partner of HealthCare Ventures V, L.P. ("HCVV"), the record holder of the securities. The Reporting Person is a general

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- partner of HCPV.
- (2) These securities are indirectly beneficially owned by HCPV, the General Partner of HCVV. Dr. Cavanaugh disclaims beneficial ownership in those shares that he does not have a pecuniary interest, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities being reported herein for purposes of Section 16 or for any other purpose, except with respect to those shares that directly relate to his general partnership interest in HCPV.
- (3) This option vests as to 1,250 shares on February 7, 2003 and as to 104.167 shares per month for 36 months beginning on March 7, 2003.

/s/ Jeffrey Steinberg

June 6, 2002

**Signature of Reporting Person

Date

By: Jeffrey Steinberg, Attorney-in-Fact

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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