

RELIANCE STEEL & ALUMINUM CO
Form 4
August 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WAITE LESLIE A

2. Issuer Name and Ticker or Trading Symbol
RELIANCE STEEL & ALUMINUM CO [RS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
08/05/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O LOMBARDIA CAPITAL PARTNERS, 55 S. LAKE STREET, SUITE 750

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

PASADENA, CA 91101

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 08/05/2014 | | M | | 6,000 | A | \$ 18.31 |
| Common Stock | 08/05/2014 | | M | | 6,000 | A | \$ 43.34 |
| Common Stock | 08/05/2014 | | M | | 6,000 | A | \$ 61.33 |
| Common Stock | 08/05/2014 | | M | | 6,000 | A | \$ 66.28 |
| | 08/05/2014 | | M | | 6,000 | A | \$ 38 |

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Common
Stock

| | | | | | | | | |
|-----------------|------------|--|---|-------|---|-------------|---------|---|
| Common Stock | 08/05/2014 | | M | 6,000 | A | \$ 44.99 | 148,729 | D |
|-----------------|------------|--|---|-------|---|-------------|---------|---|

| | | | | | | | | |
|-----------------|------------|--|---|--------|---|---------------------------|---------|---|
| Common Stock | 08/05/2014 | | S | 36,000 | D | \$ <u>67.81</u> (1) | 112,729 | D |
|-----------------|------------|--|---|--------|---|---------------------------|---------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|---|--|---|---|--------------------------------------|---|--|---|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Options to Acquire Common Stock | \$ 18.31 | 08/05/2014 | | M | 6,000 | 05/18/2006 05/18/2015 | Common Stock | 6,000 | |
| Options to Acquire Common Stock | \$ 43.34 | 08/05/2014 | | M | 6,000 | 05/17/2007 05/17/2016 | Common Stock | 6,000 | |
| Options to Acquire Common Stock | \$ 61.33 | 08/05/2014 | | M | 6,000 | 05/16/2008 05/16/2017 | Common Stock | 6,000 | |
| Options to Acquire | \$ 66.28 | 08/05/2014 | | M | 6,000 | 05/21/2009 05/21/2018 | Common Stock | 6,000 | |

Common
Stock

Options
to

| | | | | | | | | |
|---------|-------|------------|---|-------|------------|------------|-----------------|-------|
| Acquire | \$ 38 | 08/05/2014 | M | 6,000 | 05/20/2010 | 05/20/2019 | Common Stock | 6,000 |
|---------|-------|------------|---|-------|------------|------------|-----------------|-------|

Common
Stock

Options
to

| | | | | | | | | |
|---------|----------|------------|---|-------|------------|------------|-----------------|-------|
| Acquire | \$ 44.99 | 08/05/2014 | M | 6,000 | 05/19/2011 | 05/19/2020 | Common Stock | 6,000 |
|---------|----------|------------|---|-------|------------|------------|-----------------|-------|

Common
Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

WAITE LESLIE A
C/O LOMBARDIA CAPITAL PARTNERS X
55 S. LAKE STREET, SUITE 750
PASADENA, CA 91101

Signatures

/s/ Leslie A. Waite by William A. Smith II as his
Attorney-in-Fact

08/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported represents the weighted average sale price of the shares disposed of. The actual sale prices ranged from \$67.35 to

(1) \$68.30. The Reporting Person has provided to the Issuer and hereby undertakes to provide upon request by the U.S. Securities and Exchange Commission staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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