

Wittekind Beverly B.  
Form 4  
November 01, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wittekind Beverly B.

2. Issuer Name and Ticker or Trading Symbol  
ENSIGN GROUP, INC [ENSG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
27101 PUERTA REAL, STE 450

3. Date of Earliest Transaction (Month/Day/Year)  
10/30/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

VP and General Counsel

(Street)  
MISSION VIEJO, CA 92691

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | 5. Amount or Price | 6. Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--------------------|---|-----------------------------------|
| Common Stock                    | 10/30/2018                           |  | S <sup>(1)</sup>               | 168   | D          | \$ 37.079          | 53,816  | D                                 |
| Common Stock                    | 11/01/2018                           |  | M                              | 5,998   | A          | \$ 4.56            | 59,814  | D                                 |
| Common Stock                    | 11/01/2018                           |  | M                              | 12,000  | A          | \$ 4.35            | 71,814  | D                                 |
| Common Stock                    | 11/01/2018                           |  | S <sup>(2)</sup>               | 4,000   | D          | \$ 40              | 67,814  | D                                 |
| Common Stock                    | 11/01/2018                           |  | S <sup>(2)</sup>               | 4,998   | D          | \$ 41              | 62,816  | D                                 |

Edgar Filing: Wittekind Beverly B. - Form 4

|              |            |                  |       |   |       |        |   |
|--------------|------------|------------------|-------|---|-------|--------|---|
| Common Stock | 11/01/2018 | S <sup>(2)</sup> | 4,000 | D | \$ 42 | 58,816 | D |
| Common Stock | 11/01/2018 | S <sup>(2)</sup> | 5,000 | D | \$ 43 | 53,816 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Stock Option (right to buy)       | \$ 4.56  | 11/01/2018                           |  | M                              | 5,998   | 01/29/2010 <sup>(3)</sup> 01/29/2019                     | Common Stock  | 5,998                      |
| Common Stock                               | \$ 4.35  | 11/01/2018                           |  | M                              | 12,000  | 07/23/2010 <sup>(4)</sup> 07/23/2019                     | Common Stock  | 14,600                     |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                        |       |
|---|---------------|-----------|------------------------|-------|
|   | Director      | 10% Owner | Officer                | Other |
| Wittekind Beverly B.<br>27101 PUERTA REAL, STE 450<br>MISSION VIEJO, CA 92691 |               |           | VP and General Counsel |       |

## Signatures

/s/ Chad A. Keetch, as power of attorney  
11/01/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on December 14, 2016.
- (2) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on September 11, 2018.
- (3) The option, representing a right to purchase a total of 10,988 shares, was granted on January 29, 2009 and became exercisable in five equal annual installments beginning on January 29, 2010, which was the first anniversary of the date on which the option was granted.
- (4) The option, representing a right to purchase a total of 14,664 shares, was granted on July 23, 2009 and became exercisable in five equal annual installments beginning on July 23, 2010, which was the first anniversary of the date on which the option was granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.