

APPLIED MATERIALS INC /DE
Form 4
February 26, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DICKERSON GARY E

2. Issuer Name and Ticker or Trading Symbol
APPLIED MATERIALS INC /DE [AMAT]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
C/O APPLIED MATERIALS, INC., P.O. BOX 58039, 3050 BOWERS AV, M/S 1268
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/24/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President and CEO

SANTA CLARA, CA 95054
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 02/24/2014 | | M | | 63,604 (1) | A | \$ 4.4 1,400,021 (2) D |
| Common Stock | 02/24/2014 | | M | | 71,489 (1) | A | \$ 5.12 1,471,510 (2) D |
| Common Stock | 02/24/2014 | | M | | 59,573 (1) | A | \$ 5.92 1,531,083 (2) D |
| Common Stock | 02/24/2014 | | M | | 72,694 (1) | A | \$ 5.96 1,603,777 (2) D |
| | 02/24/2014 | | M | | | A | \$ 6.15 1,979,082 (2) D |

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| | | | | | | | | |
|--------------|------------|--|---|--|--|------------|---|---------------------------------|
| Common Stock | | | | | | 375,305 | | |
| | | | | | | <u>(1)</u> | | |
| Common Stock | 02/24/2014 | | M | | | 65,531 | A | \$ 6.3 2,044,613 <u>(2)</u> D |
| | | | | | | <u>(1)</u> | | |
| Common Stock | 02/24/2014 | | S | | | 708,196 | D | \$ 19.14 1,336,417 <u>(2)</u> D |
| | | | | | | | | <u>(3)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount Number Shares |
| Employee Stock Option (Right to Buy) | \$ 4.4 | 02/24/2014 | | M | 63,604 | 11/10/2011 05/14/2016 | Common Stock 63,604 |
| Employee Stock Option (Right to Buy) | \$ 5.12 | 02/24/2014 | | M | 71,489 | 11/10/2011 08/12/2017 | Common Stock 71,489 |
| Employee Stock Option (Right to Buy) | \$ 5.92 | 02/24/2014 | | M | 59,573 | 11/10/2011 02/26/2017 | Common Stock 59,573 |
| Employee Stock Option (Right to Buy) | \$ 5.96 | 02/24/2014 | | M | 72,694 | 11/10/2011 08/14/2016 | Common Stock 72,694 |
| | \$ 6.15 | 02/24/2014 | | M | 375,305 | 11/10/2011 11/12/2016 | 375,305 |

