

NACCO INDUSTRIES INC
Form 4
December 28, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUTLER JOHN C JR

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220

3. Date of Earliest Transaction
(Month/Day/Year)
12/23/2015

____ Director _____ 10% Owner
 Officer (give title below) Other (specify below)
SrVP-Fin Treas & Chief Adm Off / Member of a Group

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Class A Common Stock | 12/23/2015 | | P | A | 422 | \$ 41.7982 (1) | 63,178 I By Spouse/Trust (2) |
| Class A Common Stock | 12/24/2015 | | P | A | 210 | \$ 42.8334 (1) | 63,388 I By Spouse/Trust (2) |
| Class A Common Stock | 12/28/2015 | | P | A | 236 | \$ 42.9845 (1) | 63,624 I By Spouse/Trust (2) |
| | | | | | 7,650 | | I |

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| | | | | | | |
|----------------------------|--------|--|---|--|--|----------------------------|
| Class A Common Stock | | | | | | By RAII/Child 1 (3) |
| Class A Common Stock | 4,083 | | I | | | By Trust-Child 1 (4) |
| Class A Common Stock | 7,806 | | I | | | By RAII/Child 2 (3) |
| Class A Common Stock | 3,927 | | I | | | By Trust-Child 2 (4) |
| Class A Common Stock | 12,257 | | I | | | By RAII/Spouse (5) |
| Class A Common Stock | 11,008 | | I | | | to spouse by RAIV (A) |
| Class A Common Stock | 2,800 | | I | | | By IRA (6) |
| Class A Common Stock | 7,272 | | I | | | By RAII (7) |
| Class A Common Stock | 35,471 | | I | | | By Trust (8) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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