

POLK DENNIS
Form 4
September 06, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
POLK DENNIS

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/01/2017

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Operating Officer

44201 NOBEL DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

FREMONT, CA 94538

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	09/01/2017		M		500 A \$ 26.98	25,468	D
Common Stock	09/01/2017		S(1)		100 D \$ 115.02	25,368	D
Common Stock	09/01/2017		S(1)		100 D \$ 114.91	25,268	D
Common Stock	09/01/2017		S(1)		100 D \$ 114.885	25,168	D
Common Stock	09/01/2017		S(1)		100 D \$ 114.88	25,068	D

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Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.76	24,968	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.72	24,868	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.69	24,768	D
Common Stock	09/01/2017	<u>S(1)</u>	33	D	\$ 114.65	24,735	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.61	24,635	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.56	24,535	D
Common Stock	09/01/2017	<u>S(1)</u>	102	D	\$ 114.53	24,433	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.52	24,333	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.45	24,233	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.41	24,133	D
Common Stock	09/01/2017	<u>S(1)</u>	98	D	\$ 114.34	24,035	D
Common Stock	09/01/2017	<u>S(1)</u>	98	D	\$ 114.3	23,937	D
Common Stock	09/01/2017	<u>S(1)</u>	101	D	\$ 114.29	23,836	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 114.145	23,736	D
Common Stock	09/01/2017	<u>S(1)</u>	101	D	\$ 113.96	23,635	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 113.79	23,535	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 113.655	23,435	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 113.62	23,335	D
Common Stock	09/01/2017	<u>S(1)</u>	100	D	\$ 113.61	23,235	D
Common Stock	09/01/2017	<u>S(1)</u>	67	D	\$ 113.57	23,168	D
	09/01/2017	<u>S(1)</u>	100	D	\$ 113.54	23,068	D

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Common
Stock

Common Stock 09/01/2017 S⁽¹⁾ 100 D \$ 113.44 22,968 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Stock Option (Right to Buy)	\$ 26.98	09/01/2017		M	500	⁽²⁾ 10/05/2021	Common Stock	500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POLK DENNIS 44201 NOBEL DRIVE FREMONT, CA 94538	X		Chief Operating Officer	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact 09/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 27, 2016.
- (2) This stock option is immediately exercisable as to 139 shares and is fully vested.

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