#### FISCHER DAVID B.

Form 4

November 16, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* FISCHER DAVID B.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First) (Middle) Facebook Inc [FB]

(Check all applicable)

C/O FACEBOOK, INC., 1601

WILLOW ROAD

3. Date of Earliest Transaction

(Month/Day/Year)

11/15/2017

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below) VP Marketing & Bus. Part.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MENLO PARK, CA 94025

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/15/2017		C	18,789 (1)	A	\$ 0	93,829	D	
Class A Common Stock	11/15/2017		F	9,805 (2)	D	\$ 178.07	84,024	D	
Class A Common Stock	11/15/2017		M	15,034	A	\$ 0	99,058	D	
Class A Common	11/15/2017		F	7,845 (2)	D	\$ 178.07	91,213	D	

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Stock							
Class A Common Stock	11/15/2017	M	6,515	A	\$ 0	97,728	D
Class A Common Stock	11/15/2017	F	3,400 (2)	D	\$ 178.07	94,328	D
Class A Common Stock	11/15/2017	M	6,190	A	\$ 0	100,518	D
Class A Common Stock	11/15/2017	F	3,230 (2)	D	\$ 178.07	97,288	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of tiorDerivative Securities Acquired ) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Restricted Stock Unit (RSU) (Class B)	(3)	11/15/2017		M		18,789	<u>(4)</u>	05/02/2022	Class B Common Stock (5)	,
Class B Common Stock (5)	<u>(5)</u>	11/15/2017		M	18,789		<u>(5)</u>	(5)	Class A Common Stock	18,
Class B Common Stock (5)	<u>(5)</u>	11/15/2017		С		18,789 ( <u>6)</u>	(5)	(5)	Class A Common Stock	18,
Restricted Stock Units (RSU)	(7)	11/15/2017		M		15,034	(8)	05/05/2023	Class A Common Stock	15,0

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(Class A)								
Restricted Stock Units (RSU) (Class A)	<u>(7)</u>	11/15/2017	М	6,515	<u>(9)</u>	03/16/2024	Class A Common Stock	6,5
Restricted Stock Units (RSU) (Class A)	<u>(7)</u>	11/15/2017	М	6,190	(10)	03/15/2025	Class A Common Stock	6,1

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

FISCHER DAVID B. C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025

VP Marketing & Bus. Part.

## **Signatures**

/s/ Michael Johnson as attorney-in-fact for David Fischer

11/16/2017

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the Restricted Stock Units ("RSUs") listed in Table II.
- Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (3) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement
- (4) The RSUs vest as to 1/16th of the total shares quarterly, beginning on February 15, 2015, subject to continued service through each vesting date.
- (5) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (6) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.
- (7) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (8) The RSUs vest as to 1/16th of the total shares quarterly, beginning on November 15, 2014, subject to continued service through each vesting date.
- (9) The RSUs vest as to 1/16th of the total shares quarterly, beginning on February 15, 2016, subject to continued service through each vesting date.
- (10) The RSUs vest as to 1/16th of the total shares quarterly, beginning on May 15, 2017, subject to continued service through each vesting date.

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