

Schroepfer Michael Todd  
Form 4  
March 14, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Schroepfer Michael Todd

(Last) (First) (Middle)

C/O FACEBOOK, INC., 1601  
WILLOW ROAD

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Facebook Inc [FB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/13/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Chief Technology Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Class A<br>Common<br>Stock            | 03/13/2018                              |                                                             | C                                    | 66,256<br>(1)                                                           | A \$ 0 458,254                                                                                                     | I                                                                       | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br>(2)                   |
| Class A<br>Common<br>Stock            | 03/13/2018                              |                                                             | S(3)                                 | 19,181 D \$<br>(4)                                                      | 181.9002 439,073                                                                                                   | I                                                                       | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br>(2)                   |
| Class A<br>Common                     | 03/13/2018                              |                                                             | S(3)                                 | 11,235 D \$                                                             | 182.5516 427,838                                                                                                   | I                                                                       | By The HS<br>Trust                                                |

Edgar Filing: Schroepfer Michael Todd - Form 4

|                            |            |      |       |   |                       |         |   |  |                                                                                          |
|----------------------------|------------|------|-------|---|-----------------------|---------|---|--|------------------------------------------------------------------------------------------|
| Stock                      |            |      |       |   | (5)                   |         |   |  | U/A/D<br>9/28/2011<br>(2)                                                                |
| Class A<br>Common<br>Stock | 03/13/2018 | S(3) | 5,207 | D | \$<br>183.8873<br>(6) | 422,631 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br>(2)                                          |
| Class A<br>Common<br>Stock | 03/13/2018 | S(3) | 2,401 | D | \$<br>184.5025<br>(7) | 420,230 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br>(2)                                          |
| Class A<br>Common<br>Stock |            |      |       |   |                       | 30,121  | I |  | By The<br>Erin<br>Hoffmann<br>2017<br>Annuity<br>Trust<br>U/A/D<br>6/29/2017<br>(8)      |
| Class A<br>Common<br>Stock |            |      |       |   |                       | 30,121  | I |  | By The<br>Michael<br>Schroepfer<br>2017<br>Annuity<br>Trust<br>U/A/D<br>6/29/2017<br>(9) |
| Class A<br>Common<br>Stock |            |      |       |   |                       | 465,130 | D |  |                                                                                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities Acquired<br>(A) or Disposed of | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |
|-----------------------------------------------------|------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|
|-----------------------------------------------------|------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|

# Edgar Filing: Schroepfer Michael Todd - Form 4

| Derivative Security                              | (D)<br>(Instr. 3, 4, and 5) |            |     |                    | Date Exercisable | Expiration Date | Title                            | Amount or Number of Shares |
|--------------------------------------------------|-----------------------------|------------|-----|--------------------|------------------|-----------------|----------------------------------|----------------------------|
|                                                  | Code                        | V          | (A) | (D)                |                  |                 |                                  |                            |
| Stock Option (Right to Buy Class B Common Stock) | \$ 2.954                    | 03/13/2018 | M   | 66,256             | <u>(10)</u>      | 08/18/2019      | Class B Common Stock <u>(11)</u> | 66,2                       |
| Class B Common Stock <u>(11)</u>                 | <u>(11)</u>                 | 03/13/2018 | M   | 66,256             | <u>(11)</u>      | <u>(11)</u>     | Class A Common Stock             | 66,2                       |
| Class B Common Stock <sup>(11)</sup>             | <u>(11)</u>                 | 03/13/2018 | C   | 66,256 <u>(13)</u> | <u>(11)</u>      | <u>(11)</u>     | Class A Common Stock             | 66,2                       |

## Reporting Owners

| Reporting Owner Name / Address                                                            | Relationships |           |                          |       |
|-------------------------------------------------------------------------------------------|---------------|-----------|--------------------------|-------|
|                                                                                           | Director      | 10% Owner | Officer                  | Other |
| Schroepfer Michael Todd<br>C/O FACEBOOK, INC.<br>1601 WILLOW ROAD<br>MENLO PARK, CA 94025 |               |           | Chief Technology Officer |       |

## Signatures

/s/ Michael Johnson as attorney-in-fact for Michael T.  
Schroepfer

03/14/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares that were acquired upon the conversion of Class B Common Stock to Class A Common Stock in connection with the exercise of the stock options listed in Table II.
- (2) Shares held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.
- (3) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the holder.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$181.28 to \$182.27 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of

## Edgar Filing: Schroepfer Michael Todd - Form 4

the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$182.28 to \$183.24 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$183.31 to \$184.30 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$184.31 to \$184.90 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (8) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person's spouse.

- (9) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person.

- (10) The option vested as to 1/5th of the total shares on July 15, 2010, after which 1/60th of the total shares vest monthly, subject to continued service through each vesting date. In connection with certain estate planning transfers, the reporting person transferred vested shares underlying the option to Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/11.

- (11) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.

- (12) Options held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.

- (13) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.