

WILLIAMS DAVID B  
Form 4  
November 13, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILLIAMS DAVID B**

2. Issuer Name and Ticker or Trading Symbol  
**HYSTER-YALE MATERIALS HANDLING, INC. [HY]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**5875 LANDERBROOK DRIVE**  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/08/2018**

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
**Member of a Group**

**MAYFIELD HEIGHTS, OH 44124**  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Class A Common Stock	11/08/2018		G	V 158 A \$ 0	349	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/08/2018		G	V 224 A \$ 0	415	I	Reporting person's proportionate interest in shares held by Rankin

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Class A Common Stock	11/08/2018	G	V	224	A	\$ 0	414	I	Associates VI Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/08/2018	G	V	224	A	\$ 0	414	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/08/2018	G	V	102	A	\$ 0	12,160	I	Spouse's proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	11/08/2018	G	V	205	A	\$ 0	7,104	I	proportionate LP interest in shares held by RA II, L.P
Class A Common Stock	11/08/2018	G	V	205	D	\$ 0	11,955	I	Spouse's proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	11/08/2018	G	V	205	A	\$ 0	9,945	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	11/08/2018	G	V	205	D	\$ 0	11,750	I	Spouse's proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
	11/08/2018	G	V	205	A	\$ 0	8,570	I	

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Class A Common Stock								Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	11/09/2018	P	211 <u>(2)</u>	A	\$ 64.9807	90,674	I	Held by trust for the benefit of Reporting Person's Spouse <u>(1)</u>
Class A Common Stock	11/09/2018	P	2 <u>(2)</u>	A	\$ 64.98 <u>(3)</u>	351	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/09/2018	P	3 <u>(2)</u>	A	\$ 64.98 <u>(3)</u>	418	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/09/2018	P	3 <u>(2)</u>	A	\$ 64.98 <u>(3)</u>	417	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/09/2018	P	3 <u>(2)</u>	A	\$ 64.98 <u>(3)</u>	417	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						5,126	I	Spouse's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						49,811	I	Spouse's proportionate interests in

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Class A Common Stock	32,369	I	shares held by Rankin Associates I. <u>(1)</u> Spouse's proportionate interests in shares held by Rankin Associates IV. <u>(1)</u>
Class A Common Stock	3,162	D	
Class A Common Stock	279	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	2,983	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <u>(1)</u>
Class A Common Stock	279	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	4,357	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(4)	11/08/2018		G	V 102	(4) (4)	Class A Common Stock	102	\$	
Class B Common Stock	(4)	11/08/2018		G	V 205	(4) (4)	Class A Common Stock	205	\$	
Class B Common Stock	(4)	11/08/2018		G	V 205	(4) (4)	Class A Common Stock	205	\$	
Class B Common Stock	(4)	11/08/2018		G	V 205	(4) (4)	Class A Common Stock	205	\$	
Class B Common	(4)	11/08/2018		G	V 205	(4) (4)	Class A Common	205	\$	

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Stock

Stock

Class B  
Common  
Stock

(4)

11/08/2018

G V 205

(4)

(4)

Class A  
Common  
Stock

205

\$

Class B  
Common  
Stock

(4)

(4)

(4)

Class A  
Common  
Stock

5,972

Class B  
Common  
Stock

(4)

(4)

(4)

Class A  
Common  
Stock

89,105

Class B  
Common  
Stock

(4)

(4)

(4)

Class A  
Common  
Stock

51,283

Class B  
Common  
Stock

(4)

(4)

(4)

Class A  
Common  
Stock

58,586

Class B  
Common  
Stock

(4)

(4)

(4)

Class A  
Common  
Stock

2,332

Class B  
Common  
Stock

(4)

(4)

(4)

Class A  
Common  
Stock

326



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