

RANKIN ALISON A  
Form 4  
December 21, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ALISON A

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/20/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            | 12/20/2018                           |  | P                              | 115 <sup>(1)</sup> A  | \$ 59.58 <sub>(2)</sub> 35,296  | I  | Spouse serves as Trustee of GSTs for the benefit of Elisabeth M. Rankin |
| Class A Common Stock            | 12/20/2018                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 59.58 <sub>(2)</sub> 229   | I  | Spouse's proportionate interest in shares held by Rankin Associates VI  |

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|                            |            |   |              |   |                           |        |   |   |
|----------------------------|------------|---|--------------|---|---------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 12/20/2018 | P | 3 <u>(1)</u> | A | \$<br>59.58<br><u>(2)</u> | 497    | I | Proportionate<br>interest in<br>shares held in<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 12/20/2018 | P | 2 <u>(1)</u> | A | \$<br>59.58<br><u>(2)</u> | 417    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(3)</u>                    |
| Class A<br>Common<br>Stock | 12/20/2018 | P | 2 <u>(1)</u> | A | \$<br>59.58<br><u>(2)</u> | 417    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(4)</u>                    |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 54,147 | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates I,<br>L.P <u>(5)</u> |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 29,986 | I | Reporting<br>Person's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.                  |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 8,772  | I | Reporting<br>Person serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Alison Rankin                         |
| Class A<br>Common<br>Stock |            |   |              |   |                           | 200    | I | Reporting<br>person's<br>spouse serves<br>as Trustee for<br>the benefit of<br>Elisabeth<br>Rankin                     |

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|                            |        |   |   |
|----------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 765    | I | Reporting<br>person serves<br>as co-trustee<br>of trust fbo<br>Elisabeth M.<br>Rankin                                 |
| Class A<br>Common<br>Stock | 20,426 | I | Minor child?s<br>trust?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><u>(5)</u> |
| Class A<br>Common<br>Stock | 1,851  | I | Co-Trustee<br>for the benefit<br>of Reporting<br>Person's<br>daughter. <u>(5)</u>                                     |
| Class A<br>Common<br>Stock | 86     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V<br><u>(5)</u>                     |
| Class A<br>Common<br>Stock | 1,209  | I | Spouse serves<br>as Trustee for<br>the benefit of<br>A. Farnham<br>Rankin   |
| Class A<br>Common<br>Stock | 18,294 | I | Minor child?s<br>trust?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><u>(5)</u> |
| Class A<br>Common<br>Stock | 86     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V<br><u>(5)</u>                     |
|                            | 3,738  | I |   |

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|                            |        |   |  |   |
|----------------------------|--------|---|--|---|
| Class A<br>Common<br>Stock |        |   |  | Co-Trustee<br>for the benefit<br>of Reporting<br>Person's<br>daughter. <sup>(5)</sup>                             |
| Class A<br>Common<br>Stock | 35,296 | I |  | Spouse serves<br>as Trustee of<br>GSTs for the<br>benefit of A.<br>Farnham<br>Rankin                              |
| Class A<br>Common<br>Stock | 19     | I |  | Spouse's<br>proportionate<br>general<br>partnership<br>interest shares<br>held by<br>RAIV. <sup>(5)</sup>         |
| Class A<br>Common<br>Stock | 3,448  | I |  | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates I.<br><sup>(5)</sup>          |
| Class A<br>Common<br>Stock | 4,283  | I |  | spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA II, L.P <sup>(5)</sup> |
| Class A<br>Common<br>Stock | 65,824 | I |  | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates IV.<br><sup>(5)</sup>         |
| Class A<br>Common<br>Stock | 553    | I |  | Spouse's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates V                             |
| Class A<br>Common          | 1,975  | I |  | spouse's<br>proportionate   |

|                      |  |         |  |   |  |   |
|----------------------|--|---------|--|---|--|---|
| Stock                |  |         |  |   |  | interest in shares held by Rankin Management, Inc. ("RMI"). <sup>(5)</sup>                  |
| Class A Common Stock |  | 64      |  | I |  | spouse's interest in shares of Rankin Associates V held by Rankin Management, Inc. ("RMI")  |
| Class A Common Stock |  | 78      |  | I |  | spouse's interest in shares of Rankin Associates VI held by Rankin Management, Inc. ("RMI") |
| Class A Common Stock |  | 192,662 |  | I |  | spouse serves as Trustee of a Trust for the benefit of Roger F. Rankin <sup>(5)</sup>       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|

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4, and 5)

|                            |     |      |   | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount or<br>Number of<br>Shares |
|----------------------------|-----|------|---|---------------------|--------------------|----------------------------|----------------------------------|
|                            |     | Code | V | (A)                 | (D)                |                            |                                  |
| Class B<br>Common<br>Stock | (6) |      |   | (6)                 | (6)                | Class A<br>Common<br>Stock | 96,861                           |
| Class B<br>Common<br>Stock | (6) |      |   | (6)                 | (6)                | Class A<br>Common<br>Stock | 29,986                           |
| Class B<br>Common<br>Stock | (6) |      |   | (6)                 | (6)                | Class A<br>Common<br>Stock | 3,123                            |
| Class B<br>Common<br>Stock | (6) |      |   | (6)                 | (6)                | Class A<br>Common<br>Stock | 200                              |
| Class B<br>Common<br>Stock | (6) |      |   | (6)                 | (6)                | Class A<br>Common<br>Stock | 20,426                           |
| Class B<br>Common<br>Stock | (6) |      |   | (6)                 | (6)                | Class A<br>Common<br>Stock | 1,851                            |
| Class B<br>Common          | (6) |      |   | (6)                 | (6)                | Class A<br>Common          | 444                              |

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| Stock                      |            |            | Stock      |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 18,294 |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 3,738  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 6,168  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 4,283  |





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(6) N/A

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