

BUTLER HELEN RANKIN
Form 4
March 21, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BUTLER HELEN RANKIN

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE,
SUITE 300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HYSTER-YALE MATERIALS
HANDLING, INC. [HY]

3. Date of Earliest Transaction
(Month/Day/Year)
03/20/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title Other (specify
below) below)
Member of a Group

6. Individual or Joint/Group Filing(Check
Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect or Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code V	Amount		
Class A Common Stock	03/20/2019		P	125 (1) A	\$ 64.99	104,164	I held by Trust for the benefit of Reporting Person
Class A Common Stock	03/20/2019		P	1 (1) A	\$ 64.99	97	I proportionate limited partnership interests in shares held by Rankin Associates V,

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Class A Common Stock	03/20/2019	P	1 <u>(1)</u>	A	\$ 64.99	495	I	L.P. Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/20/2019	P	2 <u>(1)</u>	A	\$ 64.99	588	I	Spouse's proportionate interest in Rankin Associates VI
Class A Common Stock	03/20/2019	P	2 <u>(1)</u>	A	\$ 64.99	587	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/20/2019	P	2 <u>(1)</u>	A	\$ 64.99	587	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						8,416	I	child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P <u>(2)</u>
Class A Common Stock						4,513	I	held in Trust for the benefit of Reporting Person's minor child <u>(2)</u>
Class A Common						677	I	Child's proportionate

Stock				partnership interest shares held by AMR Associates LP
Class A Common Stock	8,572	I		child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P ⁽²⁾
Class A Common Stock	4,357	I		held in trust for the benefit of Reporting Person's minor child ⁽²⁾
Class A Common Stock	81,009	I		proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	49,811	I		proportionate limited partnership interest in shares held by Rankin Associates I, L.P.
Class A Common Stock	11,750	I		proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	32,369	I		proportionate limited partnership interests in shares held by Rankin Associates IV, L.P.

Class A Common Stock				2,800	I	Individual Retirement Account for the benefit of the Reporting Person's spouse ⁽²⁾
Class A Common Stock				7,839	I	spouse's proportionate limited partnership interests in shares held by Rankin Associates II, LP ⁽²⁾
Class A Common Stock				26,769	I	spouse serves as Trustee of the J.C. Butler, Jr. Revocable Trust. ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Class B Common Stock	⁽³⁾					⁽³⁾	⁽³⁾	Class A Common Stock	790

Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	8,416
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	3,683
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	790
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	8,572
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	3,527
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	94,355
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	89,105

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Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	11,750
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	51,283
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	58,586
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	2,800
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	7,839
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	17,262

