

Truett-Hurst, Inc.  
Form 4  
July 01, 2014

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
1987-1988 Richard C Blum  
Irrevocable Children's Trust

(Last) (First) (Middle)

1133 CONNECTICUT AVENUE  
NW SUITE 600,

(Street)

WASHINGTON, DC 20036

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Truett-Hurst, Inc. [THST]

3. Date of Earliest Transaction  
(Month/Day/Year)

06/20/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	06/20/2013		P		30,000	A	\$ 5.7944 (1)
Class A Common Stock	06/24/2013		P		10,000	A	\$ 5.325 545,000
Class A Common Stock	06/25/2013		P		13,500	A	\$ 5.9077 (3) 558,500
Class A Common	06/26/2013		P		5,000	A	\$ 5.73 563,500

Edgar Filing: Truett-Hurst, Inc. - Form 4

Stock								
Class A Common Stock	06/27/2013		P	10,500	A	\$ 5.6772 (4)	569,000	D (2)
Class A Common Stock	07/11/2013		P	26,764	A	\$ 5.1194 (5)	600,764	D (2)
Class A Common Stock	07/15/2013		P	4,300	A	\$ 5.0281	605,064	D (2)
Class A Common Stock	07/16/2013		P	1,000	A	\$ 5.055	606,064	D (2)
Class A Common Stock	07/17/2013		P	9,000	A	\$ 5.2828	615,064	D (2)
Class A Common Stock	08/01/2013		P	5,000	A	\$ 5.2855	620,064	D (2)
Class A Common Stock	08/02/2013		P	11,000	A	\$ 5.2855 (6)	631,064	D (2)
Class A Common Stock	08/06/2013		P	100	A	\$ 5.53	631,164	D (2)
Common Class A Common Stock	08/13/2013		P	48,836	A	\$ 5.779 (7)	680,000	D (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans
---	--	---	---	--------------------------------------	---	--	---	---	---

of (D)  
(Instr. 3,  
4, and 5)

(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
------	---	-----	-----	---------------------	--------------------	-------	--

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
1987-1988 Richard C Blum Irrevocable Children's Trust 1133 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036				X
KLEIN MICHAEL R 1133 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036				X

## Signatures

/s/ Jim Murray, Chief Financial Officer  
Date: 07/01/2014  
\_\_Signature of Reporting Person

/s/ Jim Murray as Attorney-in-Fact for Michael Klein  
Date: 07/01/2014  
\_\_Signature of Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.7338 to \$5.8675, inclusive. The reporting person undertakes to provide to Truett-Hurst, Inc., any security holder of Truett-Hurst, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (1) and (3) through (7) to this Form 4.

(2) These shares are directly owned by the 1987-1988 Richard C Blum Irrevocable Children's Trust (the "Trust"). Michael Klein is the sole trustee of the Trust and may, accordingly, be deemed an indirect beneficial owner of the shares held by the Trust, although he is not a beneficiary of the Trust. Mr. Klein disclaims beneficial ownership of the shares held by the Trust, except to the extent of any pecuniary interest therein, and this report shall not be deemed an admission of beneficial owner of such shares for any purpose.

(3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.8371 to \$5.9325, inclusive.

(4) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.5800 to \$5.7292, inclusive.

(5) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.0257 to \$5.2260, inclusive.

(6) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.2822 to \$5.3050, inclusive.

## Edgar Filing: Truett-Hurst, Inc. - Form 4

- (7) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.7463 to \$5.7860, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.