#### Edgar Filing: NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC - Form 3

#### NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC

Form 3

December 30, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Title of Security

(Instr. 4)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NEUBERGER BERMAN NEW YORK **Â** Kerrane Brian Patrick (Month/Day/Year) INTERMEDIATE MUNICIPAL FUND INC [NBO] 09/09/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O NEUBERGER BERMAN (Check all applicable) MANAGEMENT LLC. 605 THIRD AVENUE Director 10% Owner X\_ Officer Other (Street) Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Operating Officer \_X\_ Form filed by One Reporting Person **NEW** Form filed by More than One YORK. NYÂ 10158-3698 Reporting Person (State) (City) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect

> (I) (Instr. 5)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4) Title

5. Conversion Ownership or Exercise Form of Price of Derivative Derivative Security: Direct (D) Security

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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Date Expiration Amount or or Indirect Exercisable Date Number of (I) Shares (Instr. 5)

## **Reporting Owners**

Relationships Reporting Owner Name / Address Other

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Director 10% Owner Officer

Kerrane Brian Patrick

C/O NEUBERGER BERMAN MANAGEMENT LLC 605 THIRD AVENUE

Â Chief Operating Officer Â

NEW YORK, NYÂ 10158-3698

# **Signatures**

/s/ Brian Kerrane by his Attorney-in-Fact, Jennifer Gonzalez

12/29/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

No securities are beneficially owned

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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