Yu Wayne Form 4/A April 11, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

SECURITIES

response...

0.5

burden hours per

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

(Middle)

(Zip)

5. Relationship of Reporting Person(s) to

Yu Wayne

Symbol

(Check all applicable)

KNIGHT TRANSPORTATION INC

[KNX]

10% Owner

3. Date of Earliest Transaction

Director X_ Officer (give title Other (specify below)

(Month/Day/Year) 09/08/2017

below) CAO of Knight Trans. Inc.

20002 NORTH 19TH AVENUE

(First)

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person

09/12/2017

Form filed by More than One Reporting

(Instr. 4)

(A)

PHOENIX, AZ 85027

Person

Issuer

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(Month/Day/Year)

(Instr. 3, 4 and 5) (Instr. 8)

Following Reported

Transaction(s) (Instr. 3 and 4)

Owned

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

5. Number Transaction Derivative Date

Code

Securities

6. Date Exercisable and Expiration

(Month/Day/Year)

7. Title and Am Underlying Sec (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A o N o S
Restricted Stock Performance Units (1)	<u>(2)</u>	09/08/2017		D		2,487	09/08/2017 <u>(2)</u>	(2)	Common Stock	
Restricted Stock Performance Units (1)	(3)	09/08/2017		D		1,673 (3)	(3)	01/31/2019(3)	Common Stock	
Restricted Stock Performance Units (1)	(3)	09/08/2017		D		2,476 (3)	(3)	01/31/2020(3)	Common Stock	
Restricted Stock Units (1)	<u>(4)</u>	09/08/2017		D		7,050	<u>(4)</u>	<u>(4)</u>	Common Stock	
Restricted Stock Units (1)	<u>(5)</u>	09/08/2017		D		2,000	<u>(5)</u>	<u>(5)</u>	Common Stock	
Restricted Stock Units (1)	<u>(6)</u>	09/08/2017		D		1,799	<u>(6)</u>	<u>(6)</u>	Common Stock	
Employee Stock Option (Right to Buy) (1)	\$ 33.35	09/08/2017		D		5,000	<u>(7)</u>	05/31/2022	Common Stock	

Reporting Owners

Reporting Owner Name / Address	Kelationships					
. Programme and the same	Director	10% Owner	Officer	Other		
Yu Wayne 20002 NORTH 19TH AVENUE PHOENIX, AZ 85027			CAO of Knight Trans. Inc.			

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Signatures

/s/ Jessica Benford, Attorney-in-Fact

04/11/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This row is added to show disposition of derivative securities pursuant to the Agreement and Plan of Merger, dated April 9, 2017, by and among Swift Transportation Company, Bishop Merger Sub, Inc., and the issuer (the "Merger Agreement"). The derivate securities were assumed and replaced by Swift Transportation Company (renamed Knight-Swift Transportation Holdings Inc.).
 - On March 14, 2014, the reporting person was granted restricted stock units with the amount of shares of common stock awarded to be based on achieving or failing to achieve performance targets measured over a three-year period that ended December 31, 2016. As part of
- (2) the Merger Agreement, the restricted stock units vest on the closing date of the Merger Agreement and the shares issued have a market value of \$40.85 per share on the closing date of the Merger Agreement. Each restricted stock unit represents a contingent right to receive one share of Common Stock of the issuer.
- (3) The number of underlying securities is subject to adjustment based on the level of achievement for specified performance targets measured over a performance period ending on December 31, 2017. The shares underlying this award vested as of September 8, 2017.
 - The remaining restricted stock units for this grant were scheduled to vest as follows: approximately 17% on January 31, 2018,
- (4) approximately 17% on January 31, 2019, approximately 15% on January 31, 2020, and approximately 17% on each of January 31, 2021, 2022, and 2023.
- (5) The remaining restricted stock units for this grant were scheduled to vest in two equal annual installments beginning on January 31, 2018.
- (6) This restricted stock unit grant was scheduled to vest in five equal annual installments beginning on May 31, 2018.
- (7) This option was scheduled to vest in four equal annual installments beginning on May 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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