#### **BARTHELEMY NICOLAS**

Form 4 May 11, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BARTHELEMY NICOLAS** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First)

(Middle)

(Zip)

REPLIGEN CORP [RGEN] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year) 05/09/2018

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

C/O REPLIGEN CORPORATION, 41 SEYON ST,

(Street)

(State)

**BLDG 1, STE 100** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### WALTHAM, MA 02453

| (City)                               | (State)                                 | Tab   | le I - Non-                            | <b>Derivative</b>                        | Secu  | rities Acquii | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|-------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>our Dispos<br>(Instr. 3, 4 | ed of | ` '           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 05/09/2018                              |   | M                                      | 24,000                                   | A     | \$ 20.37      | 27,988   | D  |   |
| Common<br>Stock                      | 05/09/2018                              |   | M                                      | 3,366                                    | A     | \$ 26.12      | 31,354   | D  |   |
| Common<br>Stock                      | 05/09/2018                              |   | S                                      | 26,500                                   | D     | \$<br>40.5373 | 4,854  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |                                     |
|---|---|--------------------------------------|---|---|-----|--|---------------------|---|-----------------|-------------------------------------|
|   |   |                                      |   | Code V  | (A) | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 20.37  | 05/09/2018                           |   | M   |     | 24,000   | <u>(2)</u>          | 06/10/2024  | Common<br>Stock | 24,000                              |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 26.12  | 05/09/2018                           |   | M   |     | 3,366  | <u>(2)</u>          | 05/11/2026  | Common<br>Stock | 3,366                               |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| <b></b>   | Director      | 10% Owner | Officer | Other |  |  |
| BARTHELEMY NICOLAS<br>C/O REPLIGEN CORPORATION<br>41 SEYON ST, BLDG 1, STE 100<br>WALTHAM, MA 02453 | X             |           |         |       |  |  |
| <b>0</b> ' '  |               |           |         |       |  |  |

## **Signatures**

/s/ Jeffrey P. Leduc (Attorney in Fact) 05/11/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - \$40.5373 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.22 to \$40.66, inclusive.
- (1) The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- (2) This option is currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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