

SIMMONS FIRST NATIONAL CORP
Form 3
January 13, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|--|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â COMPTON JENNIFER BRYNN | | (Month/Day/Year) 01/03/2017 | SIMMONS FIRST NATIONAL CORP [SFNC] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| C/O SIMMONS FIRST NATIONAL CORP.,Â 501 MAIN STREET | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| PINE BLUFF,Â ARÂ 71601 | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| (City) | (State) | (Zip) | (give title below) | (specify below) |
| | | | EVP | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| SFNC | 6,520 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|----------------------------|------------------|-----------------|---------------------|----------------------------|------------------------------|---|------------|
| Performance Stock Unit | Â (2) | Â (2) | Common | 310 | \$ (1) | D | Â |
| Performance Stock Unit | Â (3) | Â (3) | Common | 310 | \$ (1) | D | Â |
| Performance Stock Unit | Â (4) | Â (4) | Common | 1,015 | \$ (1) | D | Â |
| Performance Stock Unit | Â (5) | Â (5) | Common | 755 | \$ (1) | D | Â |
| Performance Stock Unit | Â (6) | Â (6) | Common | 755 | \$ (1) | D | Â |
| Non-Qualified Stock Option | 12/31/2015 | 09/15/2025 | Common | 1,258 | \$ 48.13 | D | Â |
| Non-Qualified Stock Option | 12/31/2016 | 09/15/2025 | Common | 1,387 | \$ 48.13 | D | Â |
| Non-Qualified Stock Option | 12/31/2017 | 09/15/2025 | Common | 660 | \$ 48.13 | D | Â |
| Non-Qualified Stock Option | 01/19/2017 | 01/19/2026 | Common | 588 | \$ 47.02 | D | Â |
| Non-Qualified Stock Option | 01/19/2018 | 01/19/2026 | Common | 588 | \$ 47.02 | D | Â |
| Non-Qualified Stock Option | 01/19/2019 | 01/19/2026 | Common | 589 | \$ 47.02 | D | Â |
| Restricted Stock Unit | Â (8) | Â (8) | Common | 510 | \$ (7) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| COMPTON JENNIFER BRYNN C/O SIMMONS FIRST NATIONAL CORP. 501 MAIN STREET PINE BLUFF, AR 71601 | Â | Â | Â EVP | Â |

Signatures

/s/ Jennifer Brynn Compton by Piper P. Erwin 01/13/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Performance Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock Pursuant to the Company's 2015 Incentive Plan.

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- (2) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2016, contingent upon the reporting person's continued employment with the registrant.
- (3) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2017, contingent upon the reporting person's continued employment with the registrant.
- (4) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2018, contingent upon the reporting person's continued employment with the registrant.
- (5) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2016, contingent upon the reporting person's continued employment with the registrant.
- (6) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2017, contingent upon the reporting person's continued employment with the registrant.
- (7) Each Restricted Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
- (8) One third of the Restricted Stock Unit vests on January 19, 2017, 2018 and 2019. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.