

Solera National Bancorp, Inc.
 Form 4
 August 16, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 AKERS NORMA R.

2. Issuer Name and Ticker or Trading Symbol
 Solera National Bancorp, Inc.
 [OTCQB:SLRK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
 (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

319 S. SHERIDAN BLVD.

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(Street)

LAKEWOOD, CO 80226

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock, \$.01 Par Value | 08/14/2013 | | S | | 2,500 | D | |
| | | | | | \$ 7.75 | | |
| Common Stock, \$.01 Par Value | 08/14/2013 | | S | | 1,000 | D | |
| | | | | | \$ 7.75 | | |
| Common Stock, \$.01 Par Value | | | | | 2,500 | I | By Son |
| Common Stock, \$.01 | | | | | 2,500 | I | By Son |

Par Value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|
| Stock Option (Right to Buy) | \$ 4.47 | 08/14/2013 | | X | 2,500 | <u>(1)</u> 08/20/2020 | Common Stock, \$.01 Par Value | 2,500 |
| Stock Option (Right to Buy) | \$ 3.3 | 08/14/2013 | | X | 1,000 | <u>(2)</u> 02/16/2022 | Common Stock, \$.01 Par Value | 1,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| AKERS NORMA R. 319 S. SHERIDAN BLVD. LAKEWOOD, CO 80226 | X | | | |

Signatures

/s/ Robert J. Fenton,
attorney-in-fact

08/16/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 5,000 Stock Options were granted to the Reporting Person on August 20, 2010 which vested over 12 equal monthly installments beginning September 20, 2010. On August 14, 2013, 2,500 Stock Options were exercised and the 2,500 shares of Common Stock issued was simultaneously sold at \$7.75 per share. As a result, 2,500 fully-vested Stock Options are still held by the Reporting Person exercisable at \$4.47 per share.

(2) 3,000 Stock Options were granted to the Reporting Person on February 16, 2012 which are vesting over 48 equal monthly installments beginning March 16, 2012. On August 14, 2013, 1,000 Stock Options were exercised and the 1,000 shares of Common Stock issued was simultaneously sold at \$7.75 per share. As a result, 2,000 Stock Options are still held by the Reporting Person exercisable at \$3.30 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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