Great Lakes Dredge & Dock CORP Form 3

November 19, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Great Lakes Dredge & Dock CORP [GLDD] A LaVoy Kathleen Mackie (Month/Day/Year) 11/11/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O GREAT LAKES DREDGE (Check all applicable) & DOCK CORP., Â 2122 YORK ROAD 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Interim CLO & Interim Corp Sec _X_ Form filed by One Reporting Person OAK BROOK. ILÂ 60523 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 1. Title of Security 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 4) (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock $28,387 \frac{(1)}{2}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 4. 5. Securities Underlying Ownership Beneficial Ownership (Instr. 4) **Expiration Date** Conversion (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee stock option (right to buy)	(2)	05/20/2018	Common Stock	2,640	\$ 5.41	D	Â
Employee stock option (right to buy)	(3)	05/27/2020	Common Stock	4,065	\$ 5.7	D	Â
Employee stock option (right to buy)	(4)	06/27/2021	Common Stock	5,491	\$ 5.33	D	Â
Employee stock option (right to buy)	(5)	06/06/2022	Common Stock	7,563	\$ 6.45	D	Â
Employee stock option (right to buy)	(6)	05/07/2023	Common Stock	6,614	\$ 7.56	D	Â
Employee stock option (right to buy)	(7)	05/09/2024	Common Stock	6,015	\$ 7.62	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships				
coporting of the Fundament	Director	10% Owner	Officer	Other		
LaVoy Kathleen Mackie C/O GREAT LAKES DREDGE & DOCK CORP. 2122 YORK ROAD OAK BROOK, IL 60523	Â	Â	Interim CLO & Interim Corp Sec	Â		

Signatures

Cheryle A. Stone, by Power of Attorney 11/19/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Of these shares, 15,913 are represented by restricted stock units. 3,373 shares vest on May 7, 2016; 3,428 shares vest on May 9, 2017 and 9,112 shares vest on March 10, 2018.
- (2) One-third of the options became exercisable on 05/20/2009, one-third became exercisable on 05/20/2010 and one-third became exercisable on 05/20/2011.
- (3) One-third of the options became exercisable on 05/27/2011, one-third became exercisable on 05/27/2012 and one-third became exercisable on 05/27/2013.
- (4) One-third of the options became exercisable on 06/27/2012, one-third became exercisable on 06/27/2013 and one-third became exercisable on 06/27/2014.
- One-third of the options became exercisable on 06/06/2013, one-third became exercisable on 06/06/2014 and one-third became exercisable on 06/06/2015.
- (6) One-third of the options became exercisable on 05/07/2014, one-third became exercisable on 05/07/2015 and one-third will become exercisable on 05/07/2016.

Reporting Owners 2

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(7) One-third of the options became exercisable on 05/09/2015, one-third will become exercisable on 05/09/2016 and one-third will become exercisable on 05/09/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.