**HAWKINS INC** Form 4 February 26, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Pepski Kathleen P. Issuer Symbol HAWKINS INC [HWKN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 2381 ROSEGATE 02/24/2016 below) VP, CFO, & Treas (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### ROSEVILLE, MN 55113

| (City)                               | (State)  | (Zip) Table | e I - Non-D                            | erivative    | Secur | ities Acq      | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|--|-------------|--|--------------|-------|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year) |             | 3.<br>Transactic<br>Code<br>(Instr. 8) |              |       |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 02/24/2016   |             | Code V                                 | Amount 3,000 | or    | Price \$ 31.98 | Transaction(s) (Instr. 3 and 4) 27,002.849 (2)                               | D  |   |
| Common<br>Stock                      |  |             |  |              |       | <u>(1)</u>     | 911.5332 (3)   | I  | By ESOP<br>Trustee  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

### Edgar Filing: HAWKINS INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D  | ate         | Amou    | ınt of       | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | rlying       | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Secur   | rities       | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |             | Securities |               |             | (Instr. | . 3 and 4)   |             | Owne   |
|            | Security      |                     |                    |             | Acquired   |               |             |         |              |             | Follo  |
|            | •             |                     |                    |             | (A) or     |               |             |         |              |             | Repo   |
|            |               |                     |                    |             | Disposed   |               |             |         |              |             | Trans  |
|            |               |                     |                    |             | of (D)     |               |             |         |              |             | (Instr |
|            |               |                     |                    |             | (Instr. 3, |               |             |         |              |             |        |
|            |               |                     |                    |             | 4, and 5)  |               |             |         |              |             |        |
|            |               |                     |                    |             |            |               |             |         | A            |             |        |
|            |               |                     |                    |             |            |               |             |         | Amount       |             |        |
|            |               |                     |                    |             |            | Date          | Expiration  | T:41-   | or<br>Namel  |             |        |
|            |               |                     |                    |             |            | Exercisable   | Date        |         | Number       |             |        |
|            |               |                     |                    | C-1- V      | (A) (D)    |               |             |         | of<br>Shares |             |        |
|            |               |                     |                    | Code V      | (A) (I))   |               |             |         | Snares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Pepski Kathleen P. 2381 ROSEGATE

VP, CFO, & Treas

ROSEVILLE, MN 55113

## **Signatures**

/s/ Joshua L. Colburn, Attorney-in-Fact

02/26/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects the weighted average price of 3,000 shares of common stock of the Issuer purchased by the reporting person in multiple transactions on February 24, 2016 with purchase prices ranging from \$31.69 to \$32.00 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares purchased at each separate price.
- Includes 10.546 shares acquired in October 2015 pursuant to the Issuer's dividend reinvestment plan and 403 shares acquired under the Issuer's employee stock purchase plan between June 30, 2015 and December 31, 2015.
- (3) Includes 326.4557 shares acquired in June 2015 pursuant to Issuer's employee stock option plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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