AIR LEASE CORP Form 4

July 05, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

**SECURITIES** Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

**AIR LEASE** 

1. Name and Address of Reporting Person \* Plueger John L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

AIR LEASE CORP [AL]

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 07/01/2016

\_X\_\_ Director 10% Owner

(Check all applicable)

X\_ Officer (give title below)

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

CEO and President

CORPORATION,, 2000 AVENUE OF THE STARS SUITE 1000N

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

500

I

LOS ANGELES, CA 90067

						_			
(City)	(State) (Zi	Table I	- Non-Der	ivative Sec	nired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	or(A) or Di (D)	(Instr. 3, 4 and 5)  (A)  or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Air Lease Corporation - Class A Common Stock	07/01/2016		A	18,362 (1)	A	\$0	727,268	D	
Air Lease Corporation - Class A Common Stock							500	I	See footnote (2)

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Air Lease
Corporation - footnote
Class A
Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8)	5. Mumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	v	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips						
. 0	Director	10% Owner	Officer	Other			
Plueger John L AIR LEASE CORPORATION, 2000 AVENUE OF THE STARS SUITE 1000N LOS ANGELES, CA 90067	X		CEO and President				

# **Signatures**

Stock

Carol H. Forsyte, on behalf of John L. Plueger, Chief Executive Officer and President (Power of Attorney On File)

07/05/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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Restricted stock units granted on July 1, 2016 under the Air Lease Corporation 2014 Equity Incentive Plan which, subject to the terms of the award agreement, vest 100% on the third anniversary of the date of grant.

These shares are owned by one of the reporting person's sons. The reporting person expressly disclaims beneficial ownership of these (2) shares, except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed to be an admission of beneficial ownership of the reported shares for purposes of Section 16 or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.