

GTX INC /DE/  
Form 4  
October 17, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HYDE JOSEPH R III**

(Last) (First) (Middle)

**175 TOYOTA PLAZA, 7TH FLOOR**

(Street)

**MEMPHIS, TN 38103**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**GTX INC /DE/ [GTXI]**

3. Date of Earliest Transaction (Month/Day/Year)  
**10/14/2016**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	10/14/2016		A		7,716,049	A	\$ 0.81
Common Stock					216,462	I	By Spouse
Common Stock					1,956,540	I	By 2015-1 GRAT
Common Stock					978,270	I	By 2015-2 GRAT
Common Stock					652,180	I	By 2015-3 GRAT
					1,956,540	I	

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Common Stock							By 2015-4 GRAT
Common Stock				1,956,540	I		By 2015-5 GRAT
Common Stock				200,430	I		By 2014-4 GRAT
Common Stock				13,361	I		By 2014-5 GRAT
Common Stock				13,361	I		By 2014-6 GRAT
Common Stock				508,904	I		By Trust
Common Stock				508,903	I		By Trust
Common Stock				508,903	I		By Trust
Common Stock				203,791	I		By Trust
Common Stock				114,350	I		By Trust
Common Stock				145,352	I		By Pittco Associates III, L.P. <u>(1)</u> <u>(2)</u>
Common Stock				3,915,716	I		By Pittco Investments, L.P. <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
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Date Expiration  
 Exercisable Date Title  
 Amount or Number of Shares

Code V (A) (D)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HYDE JOSEPH R III 175 TOYOTA PLAZA 7TH FLOOR MEMPHIS, TN 38103	X	X		

## Signatures

/s/ Henry P. Doggrell, by Power of Attorney 10/17/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in his report shall not be deemed an admission of beneficial ownership of the reported shares for the purpose of Section 16 or any other purpose.
- (2) Pittco Associates II, L.P., a limited partnership of which the reporting person is the sole general partner, held the reported securities until July 2015, at which time the reported securities were transferred to Pittco Associates III, L.P., a limited partnership of which the reporting person is the sole general partner. The transfer effected only a change in the form of beneficial ownership; it did not change the reporting person's pecuniary interest in the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.