

Holdsworth Raymond W Jr
 Form 4
 November 20, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Holdsworth Raymond W Jr

2. Issuer Name and Ticker or Trading Symbol
 Willdan Group, Inc. [WLDN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 2401 EAST KATELLA AVENUE, SUITE 300
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 11/19/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

ANAHEIM, CA 92806

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	11/19/2018		M	5,000 A \$ 1.87	15,954	D	
Common Stock	11/19/2018		M	5,000 A \$ 1.8	20,954	D	
Common Stock	11/19/2018		M	5,000 A \$ 4.01	25,954	D	
Common Stock	11/19/2018		M	5,000 A \$ 2.48	30,954	D	
Common Stock					60,688	I	By The Holdsworth Family Trust

Edgar Filing: Holdsworth Raymond W Jr - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.87	11/19/2018		M	5,000	⁽¹⁾ 06/08/2019	Common Stock	5,000
Stock Option (Right to Buy)	\$ 1.8	11/19/2018		M	5,000	⁽²⁾ 06/04/2022	Common Stock	5,000
Stock Option (Right to Buy)	\$ 4.01	11/19/2018		M	5,000	⁽³⁾ 06/06/2021	Common Stock	5,000
Stock Option (Right to Buy)	\$ 2.48	11/19/2018		M	5,000	⁽⁴⁾ 06/07/2020	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Holdsworth Raymond W Jr 2401 EAST KATELLA AVENUE SUITE 300 ANAHEIM, CA 92806	X			

Signatures

/s/ Stacy B. McLaughlin, Attorney-in-fact for Raymond W.
Holdsworth, Jr.

11/20/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in two equal installments on each of June 8, 2010 and June 8, 2011.
 - (2) The option became exercisable in two equal installments on each of June 4, 2013 and June 4, 2014.
 - (3) The option became exercisable in two equal installments on each of June 6, 2012 and June 6, 2013.
 - (4) The option became exercisable in two equal installments on each of June 7, 2011 and June 7, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.