

Baldock Philip A  
 Form 3  
 October 06, 2011

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Baldock Philip A                        |         | (Month/Day/Year)                     | BEAM INC [NYSE: BEAM]  |  |
| (Last)                                    | (First) | (Middle)                             | 10/03/2011   |  |
| BEAM INC.,Â 510 LAKE COOK ROAD            |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| DEERFIELD,Â ILÂ 60015                     |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | SVP & President, APSA  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

|                                 |   |  |   |
|---------------------------------|---|--|---|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|  |  |   |  |  |   |
|--|--|---|--|--|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of Shares   |   |

(Instr. 5)

| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(2)</sup> | 09/28/2014 | Beam Inc.<br>Common<br>Stock | 8,921  | \$ 68.89 | D | Â |
|--|------------------|------------|------------------------------|--------|----------|---|---|
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(3)</sup> | 09/27/2012 | Beam Inc.<br>Common<br>Stock | 9,000  | \$ 82.16 | D | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(4)</sup> | 09/26/2013 | Beam Inc.<br>Common<br>Stock | 9,000  | \$ 74.39 | D | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(5)</sup> | 09/24/2014 | Beam Inc.<br>Common<br>Stock | 9,000  | \$ 80.95 | D | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | 09/29/2011       | 09/29/2015 | Beam Inc.<br>Common<br>Stock | 2,999  | \$ 57.01 | D | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(6)</sup> | 09/30/2016 | Beam Inc.<br>Common<br>Stock | 8,366  | \$ 42.98 | D | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(7)</sup> | 02/22/2017 | Beam Inc.<br>Common<br>Stock | 9,999  | \$ 43.67 | D | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(8)</sup> | 02/22/2021 | Beam Inc.<br>Common<br>Stock | 20,000 | \$ 61.54 | D | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| Baldock Philip A<br>BEAM INC.<br>510 LAKE COOK ROAD<br>DEERFIELD, IL 60015 | Â             | Â         | Â SVP & President, APSA | Â     |

## Signatures

Todd M. Bloomquist, as Attorney-in-Fact for Philip Baldock 10/06/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28,

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2011.

- (2) The options vested in three equal annual installments beginning on September 28, 2005.
- (3) The options vested in three equal annual installments beginning on September 27, 2006.
- (4) The options vested in three equal annual installments beginning on September 26, 2007.
- (5) The options vested in three equal annual installments beginning on September 24, 2008.
- (6) The options vested as to 4,183 shares on September 30, 2011 and will vest as to the remaining 4,183 shares on September 30, 2012.
- (7) The options vest in two equal annual installments beginning on February 22, 2012.
- (8) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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