

HODGES GRANVILLE R JR  
Form 4  
August 14, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HODGES GRANVILLE R JR

2. Issuer Name and Ticker or Trading Symbol  
AMERICAN STATES WATER CO  
[AWR]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
2712 HARTFORD AVENUE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/10/2012

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Vice President

FULLERTON, CA 92835-3034  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price (A) or (D)		
Common Shares	06/01/2012		A		32.1037 (1) \$ 36.61	D	
Common Shares	08/10/2012		M		750 \$ 25.55	D	
Common Shares	08/10/2012		M		750 \$ 25.92	D	
Common Shares	08/10/2012		M		750 \$ 33.73	D	
Common Shares	08/10/2012		M		750 \$ 38.62	D	

Edgar Filing: HODGES GRANVILLE R JR - Form 4

Common Shares	08/10/2012	M	3,552	A	\$ 36.89	12,362.0371	D
Common Shares	08/10/2012	M	2,887	A	\$ 34.11	15,249.0371	D
Common Shares	08/10/2012	M	6,200	A	\$ 34.57	21,449.0371	D
Common Shares	08/10/2012	M	1,874	A	\$ 33.36	23,323.0371	D
Common Shares	08/10/2012	S	100	D	\$ 43.22	23,223.0371	D
Common Shares	08/10/2012	S	100	D	\$ 43.29	23,123.0371	D
Common Shares	08/10/2012	S	1,000	D	\$ 43.32	22,123.0371	D
Common Shares	08/10/2012	S	2,800	D	\$ 43.34	19,323.0371	D
Common Shares	08/10/2012	S	2,400	D	\$ 43.35	16,923.0371	D
Common Shares	08/10/2012	S	600	D	\$ 43.36	16,323.0371	D
Common Shares	08/10/2012	S	4,400	D	\$ 43.37	11,923.0371	D
Common Shares	08/10/2012	S	800	D	\$ 43.38	11,123.0371	D
Common Shares	08/10/2012	S	600	D	\$ 43.47	10,523.0371	D
Common Shares	08/10/2012	S	200	D	\$ 43.48	10,323.0371	D
Common Shares	08/10/2012	S	100	D	\$ 43.5	10,223.0371	D
Common Shares	08/10/2012	S	200	D	\$ 43.52	10,023.0371	D
Common Shares	08/10/2012	S	500	D	\$ 43.53	9,523.0371	D
Common Shares	08/10/2012	S	350	D	\$ 43.54	9,173.0371	D
Common Shares	08/10/2012	S	200	D	\$ 43.56	8,973.0371	D
Common Shares	08/10/2012	S	100	D	\$ 43.57	8,873.0371	D
	08/10/2012	S	1,300	D		7,573.0371	D

Edgar Filing: HODGES GRANVILLE R JR - Form 4

Common Shares					\$ 43.58		
Common Shares	08/10/2012	S	300	D	\$ 43.59	7,273.0371	D
Common Shares	08/10/2012	S	100	D	\$ 43.63	7,173.0371	D
Common Shares	08/10/2012	S	100	D	\$ 43.64	7,073.0371	D
Common Shares	08/10/2012	S	25	D	\$ 43.65	7,048.0371	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 25.55	08/10/2012		M	750	02/01/2005	02/01/2014	Common Shares	750
Employee Stock Option (Right to Buy)	\$ 25.92	08/10/2012		M	750	01/02/2006	01/02/2015	Common Shares	750
Employee Stock Option (Right to Buy)	\$ 33.73	08/10/2012		M	750	01/29/2007	01/29/2016	Common Shares	750

Employee Stock Option (Right to Buy)	\$ 38.62	08/10/2012	M	750	01/01/2008	01/01/2007	Common Shares	750
Employee Stock Option (Right to Buy)	\$ 36.89	08/10/2012	M	3,552	05/20/2008	05/20/2017	Common Shares	3,552
Employee Stock Option (Right to Buy)	\$ 34.11	08/10/2012	M	2,887	01/27/2009	01/27/2008	Common Shares	2,887
Employee Stock Option (Right to Buy)	\$ 34.57	08/10/2012	M	6,200	01/29/2010	01/29/2009	Common Shares	6,200
Employee Stock Option (Right to Buy)	\$ 33.36	08/10/2012	M	1,874	01/31/2011	01/31/2010	Common Shares	1,874

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HODGES GRANVILLE R JR 2712 HARTFORD AVENUE FULLERTON, CA 92835-3034			Vice President	

## Signatures

/s/ Granville R.  
Hodges, Jr

08/14/2012

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) DER units credited on 6/1/2012 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.