A10 Networks, Inc. Form 4 December 24, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SMETS RAYMOND J

(First) (Middle) (Last)

C/O A10 NETWORKS, INC., 3 WEST PLUMERIA DRIVE

(Street)

SAN JOSE, CA 95134

2. Issuer Name and Ticker or Trading Symbol

A10 Networks, Inc. [ATEN]

3. Date of Earliest Transaction (Month/Day/Year)

12/22/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

below) VP of Worldwide Sales

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities

(A)

or

(D)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount

5. Amount of Securities Beneficially Owned Following

Form: Direct (D) or Indirect (I) (Instr. 4)

D

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(9-02)

Reported Transaction(s) (Instr. 3 and 4)

Price $68,166 \frac{(1)}{}$

Stock

Common

(City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amo Underlying Secu (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|----------------------|
| | | | | Code V | and 5) (A) (D) | Date Exercisable | Expiration Date | Title | An or Nu of |
| Employee Stock Option (right to buy) | \$ 4.4 | 12/22/2014 | | A | 96,000 | 01/22/2015(2) | 12/22/2024 | Common Stock | 96 |
| Performance Restricted Stock Units | (3) | 12/22/2014 | | A | 33,333 | <u>(4)</u> | <u>(4)</u> | Common Stock | 33 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SMETS RAYMOND J C/O A10 NETWORKS, INC. 3 WEST PLUMERIA DRIVE SAN JOSE, CA 95134

VP of Worldwide Sales

Signatures

Robert Cochran, as Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,500 shares acquired by the Reporting Person on November 20, 2014 under the Issuer's Employee Stock Purchase Plan.
- One forty-eighth (1/48) of the shares subject to the option will vest monthly, provided that the Reporting Person continues to serve through each vesting date.
- (3) Each restricted stock unit represents a contingent right to receive one share of A10 Networks, Inc. common stock.
- This Performance Restricted Stock Unit award vests within the four year anniversary of the grant based on the achievement of certain market-related performance criteria. The number of shares reported in this Form 4 reflects the minimum number that may be acquired by the Reporting Person. The Reporting Person may acquire shares equal to an additional 200% of the minimum number depending on the potential achievement of market-related performance criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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