Hortonworks, Inc. Form 8-A12B December 09, 2014

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-A

# FOR REGISTRATION OF CERTAIN CLASSES OF SECURITIES PURSUANT TO SECTION 12(b) OR (g) OF THE SECURITIES EXCHANGE ACT OF 1934

#### Hortonworks, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State of incorporation or organization)

37-1634325 (I.R.S. Employer Identification No.)

5470 Great America Parkway

Santa Clara, California 95054 95054
(Address of principal executive offices) (Zip Code)
Securities to be registered pursuant to Section 12(b) of the Act:

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Title of each class

Name of each exchange on which

to be so registered Common Stock, par value \$0.0001 per share each class is to be registered The NASDAQ Stock Market LLC

If this form relates to the registration of a class of securities pursuant to Section 12(b) of the Exchange Act and is effective pursuant to General Instruction A.(c), please check the following box. x

If this form relates to the registration of a class of securities pursuant to Section 12(g) of the Exchange Act and is effective pursuant to General Instruction A.(d), please check the following box.

Securities Act registration statement file number to which this form relates:

333-200044

Securities to be registered pursuant to Section 12(g) of the Act:

None

#### INFORMATION REQUIRED IN REGISTRATION STATEMENT

## Item 1. Description of Registrant s Securities to be Registered.

Hortonworks, Inc. (the **Registrant** ) registers hereunder its common stock, par value \$0.0001 per share (the **Common Stock** ). A description of the Registrant s Common Stock and a description of the anti-takeover effects of the Registrant s Amended and Restated Certificate of Incorporation and Amended and Restated Bylaws are incorporated by reference herein from the information set forth under the heading Description of Capital Stock in the Registrant s Registration Statement on Form S-1, as amended (File No. 333-200044) (the **Registration Statement** ), confidentially submitted to the Securities and Exchange Commission (the **Commission** ) on June 27, 2014 and publicly filed with the Commission on November 10, 2014, under the Securities Act of 1933, as amended (the **Securities Act** ). The description of the Common Stock included in any form of prospectus subsequently filed by the Registrant with the Commission pursuant to Rule 424(b) under the Securities Act shall be deemed to be incorporated by reference herein.

#### Item 2. Exhibits.

In accordance with the Instructions as to Exhibits with respect to Form 8-A, no exhibits are required to be filed because no other securities of the Registrant are registered on The NASDAQ Stock Market LLC and the securities registered hereby are not being registered pursuant to Section 12(g) of the Securities Exchange Act of 1934, as amended.

## **SIGNATURES**

Pursuant to the requirements of Section 12 of the Securities Exchange Act of 1934, the registrant has duly caused this registration statement to be signed on its behalf by the undersigned, thereto duly authorized.

Date: December 9, 2014

# HORTONWORKS, INC.

By: /s/ David Howard David Howard

Vice President, Legal and Secretary