CAPSTEAD MORTGAGE CORP Form 8-K January 26, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report: January 25, 2017

(Date of Earliest Event Reported)

CAPSTEAD MORTGAGE CORPORATION

(Exact Name of Registrant as Specified in its Charter)

Maryland 001-08896 (State (Commission

75-2027937 (I.R.S. Employer

of Incorporation) File No.) Identification No.)

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8401 North Central Expressway

Suite 800

Dallas, Texas 75225 (Address of Principal Executive Offices) (Zip Code) Registrant s Telephone Number, Including Area Code: (214) 874-2323

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425).

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 230.14a-12).

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)).

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)).

ITEM 2.02. RESULTS OF OPERATIONS AND FINANCIAL CONDITION

On January 25, 2017, Capstead Mortgage Corporation issued a press release announcing fourth quarter 2016 results. A copy of the press release is attached as Exhibit 99.1.

ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS

- (d) Exhibits.
 - 99.1 Press release issued by Capstead Mortgage Corporation dated January 25, 2017 announcing fourth quarter 2016 results.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CAPSTEAD MORTGAGE CORPORATION

January 25, 2017 By: /s/ Phillip A. Reinsch

Phillip A. Reinsch

President and Chief Executive Officer, Chief Financial Officer and Secretary