FIBROGEN INC Form 8-K November 01, 2017

### **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 31, 2017

# FibroGen, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-36740** (Commission

77-0357827 (IRS Employer

of incorporation)

File Number) FibroGen, Inc.

**Identification No.)** 

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**409 Illinois Street** 

San Francisco, CA 94158

(Address of principal executive offices, including zip code)

(415) 978-1200

(Registrant s telephone number, including area code)

**Not Applicable** 

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

### **Item 8.01 Other Events**

On October 31, 2017, FibroGen, Inc. and its collaboration partner, Astellas Pharma Inc., issued a press release in which they announced topline results from the first Phase 3 trial completed in Japan by Astellas Pharma Inc. for roxadustat for anemia in chronic kidney disease patients on peritoneal dialysis with or without previous treatment with erythropoiesis-stimulating agents.

A copy of such press release is furnished as Exhibit 99.1 to this report and is incorporated herein by reference.

### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

### **Exhibit**

### No. Description

99.1 <u>Press Release titled Astellas and FibroGen Announce Positive Topline Results from First Japan Phase</u> 3
Trial for Roxadustat in Chronic Kidney Disease Patients with Anemia dated October 31, 2017

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FIBROGEN, INC.

Dated: October 31 2017

By: /s/ Michael Lowenstein Michael Lowenstein Chief Legal Counsel