Edgar Filing: DUNMEAD STEPHEN - Form 3/A

DUNMEAD STEPHEN

Form 3/A

November 23, 2004

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

 DUNMEAD STEPHEN

1500 KEY TOWER, 127

PUBLIC SQUARE

(Last)

(City)

(Instr. 4)

1. Title of Security

(First)

(Street)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

11/03/2003

OM GROUP INC [OMG]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 11/05/2003

(Check all applicable)

Vice President Cobalt

Director

_X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

CLEVELAND, OHÂ 44114

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

> or Indirect (I) (Instr. 5)

Direct (D)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Securities Underlying Derivative Security (Instr. 4)

3. Title and Amount of

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of

Shares

Derivative Security

Direct (D) or Indirect

(I)

Edgar Filing: DUNMEAD STEPHEN - Form 3/A

						(Illstr. 3)	
Stock Option (1)	11/05/2000	11/05/2009	Stock Option	1,000	\$ 36.25	D	Â
Stock Option (1)	11/06/2001	11/06/2010	Stock Option	2,000	\$ 46.75	D	Â
Stock Option (1)	11/05/2002	11/05/2011	Stock Option	3,000	\$ 59.2	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
DUNMEAD STEPHEN 1500 KEY TOWER 127 PUBLIC SQUARE CLEVELAND, OH 44114	Â	Â	Vice President Cobalt	Â		

Signatures

Paul D. Schulz,
Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Inadvertantly omitted from original Form 3 filing shares issued before person became an executive officer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2