

PNC FINANCIAL SERVICES GROUP INC  
 Form 4  
 November 06, 2006

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 WHITFORD THOMAS K

2. Issuer Name and Ticker or Trading Symbol  
 PNC FINANCIAL SERVICES GROUP INC [PNC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP and Chief Risk Officer

ONE PNC PLAZA, 249 FIFTH AVENUE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

(Street)

PITTSBURGH, PA 15222-2707

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
\$5 Par Common Stock	11/02/2006		F <sup>(1)</sup>		28,518	D	\$ 68.845
\$5 Par Common Stock	11/02/2006		F <sup>(1)</sup>		3,173	D	\$ 68.845
\$5 Par Common Stock	11/02/2006		M <sup>(1)</sup>		26,848	A	\$ 57.1
\$5 Par Common Stock	11/02/2006		F <sup>(1)</sup>		22,267	D	\$ 141,344

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Common Stock						68.845	
\$5 Par Common Stock	11/02/2006	<u>F</u> (1)	1,861	D	\$ 68.845	139,483	D
\$5 Par Common Stock	11/02/2006	<u>M</u> (1)	36,331	A	\$ 54.04	175,814	D
\$5 Par Common Stock	11/02/2006	M	14,959	A	\$ 49.46	190,773	D
\$5 Par Common Stock	11/02/2006	M	20,583	A	\$ 53.5	211,356	D
\$5 Par Common Stock	11/02/2006	M	18,025	A	\$ 53.5	229,381	D
\$5 Par Common Stock	11/02/2006	M	1,638	A	\$ 53.8	231,019	D
\$5 Par Common Stock	11/02/2006	M	5,110	A	\$ 53.8	236,129	D
\$5 Par Common Stock	11/02/2006	M	10,684	A	\$ 53.8	246,813	D
\$5 Par Common Stock	11/02/2006	M	16,279	A	\$ 53.875	263,092	D
\$5 Par Common Stock	11/02/2006	M	10,687	A	\$ 54.765	273,779	D
\$5 Par Common Stock	11/02/2006	M	12,143	A	\$ 55.27	285,922	D
\$5 Par Common Stock	11/02/2006	M	5,538	A	\$ 55.93	291,460	D
\$5 Par Common Stock	11/02/2006	M	5,546	A	\$ 58	297,006	D
\$5 Par Common Stock	11/02/2006	<u>S</u> (2)	1,300	D	\$ 68.58	295,706	D

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\$5 Par Common Stock	11/02/2006	S <sup>(2)</sup>	1,700	D	\$ 68.6	294,006	D	
\$5 Par Common Stock	11/02/2006	S <sup>(2)</sup>	600	D	\$ 68.61	293,406	D	
\$5 Par Common Stock	11/02/2006	S <sup>(2)</sup>	3,400	D	\$ 68.62	290,006	D	
\$5 Par Common Stock	11/02/2006	S <sup>(2)</sup>	4,200	D	\$ 68.63	285,806	D	
\$5 Par Common Stock	11/02/2006	S <sup>(2)</sup>	4,600	D	\$ 68.64	281,206	D	
\$5 Par Common Stock	10/24/2006	J <sup>(3)</sup>	V 392	A	(4)	8,548	I	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)
				Code V (A) (D)		Date Exercisable Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 53.5	11/02/2006		M	20,583	01/25/2006 01/25/2015	\$5 Par Common Stock
Employee Stock Option (Right-to-Buy) Reload Option	\$ 68.845	11/02/2006		A	31,691	11/02/2007 01/06/2014	\$5 Par Common Stock
Employee Stock Option	\$ 68.845	11/02/2006		A	24,128	11/02/2007 01/03/2012	\$5 Par Common

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(Right-to-Buy) Reload Option								Stock
Employee Stock Option (Right-to-Buy) Reload Option	\$ 54.765	11/02/2006	M	10,687	06/03/2006	02/16/2010	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 53.8	11/02/2006	M	10,684	05/18/2005	02/16/2010	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 53.8	11/02/2006	M	5,110	05/18/2005	02/17/2009	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 53.8	11/02/2006	M	1,638	05/18/2005	01/03/2013	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 49.46	11/02/2006	M	14,959	06/05/2004	01/06/2010	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 53.875	11/02/2006	M	16,279	01/07/2005	01/03/2013	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 55.27	11/02/2006	M	12,143	11/17/2005	02/17/2009	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 55.93	11/02/2006	M	5,538	05/20/2003	01/06/2010	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 58	11/02/2006	M	5,546	08/09/2001	02/17/2009	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy) Reload Option	\$ 53.5	11/02/2006	M	18,025	01/25/2006	01/03/2013	\$5 Par Common Stock	
Employee Stock Option (Right-to-Buy)	\$ 54.04	11/02/2006	M	36,331	01/06/2005	01/06/2014	\$5 Par Common Stock	

Option Employee Stock Option (Right-to-Buy) Option	\$ 57.1	11/02/2006	M		26,848	01/03/2003	01/03/2012	\$5 Par Common Stock
Phantom Stock Unit	(5)	10/24/2006	J(6)	V	201	(7)	(7)	\$5 Par Common Stock
Phantom Stock Unit	(5)	10/24/2006	J(6)	V	140	(7)	(7)	\$5 Par Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHITFORD THOMAS K ONE PNC PLAZA 249 FIFTH AVENUE PITTSBURGH, PA 15222-2707			EVP and Chief Risk Officer	

## Signatures

Lori A. Hasselman, Attorney-in-Fact for Thomas K.  
Whitford

11/06/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The exercise of this option and the satisfaction of the resulting tax withholding obligation were effected by the Reporting Person through
- (1) the delivery, via attestation, of already owned shares of common stock of the Issuer and did not involve an open market transaction in the Issuer's securities.
  - (2) Sale pursuant to cashless exercise of employee stock options.
  - (3) Shares acquired under the PNC Incentive Savings Plan, including shares acquired through reinvestment of dividends.
  - (4) Shares acquired under the PNC Incentive Savings Plan at various prices from \$72.77 to \$63.67.
  - (5) 1 for 1.
  - (6) Dividend reinvestment shares acquired.
  - (7) Phantom Stock Units will be settled in cash upon distribution from the reporting person's plan account and generally do not expire.
  - (8) Dividend reinvestment shares acquired under the PNC Deferred Compensation Plan at various prices.
  - (9) Dividend reinvestment shares acquired under the PNC Supplemental Incentive Savings Plan at various prices.

### Remarks:

Form (1 of 2)

Because the number of reportable transactions exceeds the Form 4 limit, additional transactions are reported on a second Form

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