

GREATBATCH, INC.
Form 4
August 06, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MAZZA THOMAS J

(Last) (First) (Middle)
10000 WEHRLE DRIVE
(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GREATBATCH, INC. [GB]

3. Date of Earliest Transaction (Month/Day/Year)
08/02/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, Corporate Controller

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--------|---|--|
| | | | | (A) or (D) | Price | | | | | |
| | | | | Code | V | Amount | | | | |
| Common Stock | 08/02/2013 | | M | | 32,603 | A | \$ 37.3055 | 50,181 | D | |
| Common Stock | 08/02/2013 | | F | | 27,225 | D | \$ 37.3055 | 22,956 | D | |
| Common Stock | 08/05/2013 | | M | | 17,477 | A | \$ 37.25 | 40,433 | D | |
| Common Stock | 08/05/2013 | | F | | 15,150 | D | \$ 37.25 | 25,283 | D | |
| Common Stock | 08/05/2013 | | M | | 5,000 | A | \$ 37.3461 | 30,283 | D | |

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| | | | | | | | | |
|--------------|------------|---|-------|---|------------|--------|---|-----------|
| Common Stock | 08/05/2013 | F | 2,292 | D | \$ 37.3461 | 27,991 | D | |
| Common Stock | | | | | | 3,264 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Employee stock options | \$ 27.5 | 08/05/2013 | | M | 2,800 | 12/31/2004 07/01/2014 | Common | 2,800 | |
| Employee stock options | \$ 25.22 | 08/02/2013 | | M | 9,081 | 12/31/2006 02/13/2016 | Common | 9,081 | |
| Employee stock options | \$ 22.38 | 08/02/2013 | | M | 8,695 | 01/01/2010 08/08/2016 | Common | 8,695 | |
| Employee stock options | \$ 25.5 | 08/02/2013 | | M | 8,143 | 12/31/2007 03/06/2017 | Common | 8,143 | |
| Employee stock options | \$ 23.6 | 08/02/2013 | | M | 6,684 | 12/31/2008 06/08/2015 | Common | 6,684 | |
| Employee stock options | \$ 26.53 | 08/05/2013 | | M | 4,705 | 03/05/2012 01/04/2019 | Common | 4,705 | |
| Stock Option | \$ 26.53 | 08/05/2013 | | M | 9,972 | 01/01/2010 01/05/2019 | Common | 9,972 | |

Stock options \$ 16.99 08/05/2013 M 5,000 12/31/2005 02/11/2015 Common 5,000

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MAZZA THOMAS J 10000 WEHRLE DRIVE CLARENCE, NY 14031 | | | VP, Corporate Controller | |

Signatures

| | |
|---|------------|
| /s/Christopher J. Thome as attorney-in-fact for Thomas J. Mazza | 08/06/2013 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.