

UNIVERSAL ELECTRONICS INC

Form 4/A

August 15, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ARLING PAUL D

2. Issuer Name and Ticker or Trading
Symbol
UNIVERSAL ELECTRONICS INC
[UEIC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
201 E. SANDPOINTE
AVENUE, SUITE 800
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/07/2012

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO

SANTA ANA, CA 92707-6708

4. If Amendment, Date Original
Filed(Month/Day/Year)
05/14/2013

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	05/07/2013	05/07/2013	M		15,000	A	\$ 12.58	141,546	D
Common Stock	05/07/2013	05/07/2013	S		15,000	D	\$ 24.1493	126,546	D
Common Stock	05/08/2013	05/08/2013	M		1,400	A	\$ 12.58	127,946	D
Common Stock	05/08/2013	05/08/2013	S		1,400	D	\$ 24.0157	126,546	D
Common Stock	05/09/2013	05/09/2013	M		2,797	A	\$ 12.58	129,343	D

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Common Stock	05/09/2013	05/09/2013	S	2,797	D	\$ 24	126,546	D	
Common Stock	05/10/2013	05/10/2013	M	60,803	A	\$ 12.58	187,349	D	
Common Stock	05/10/2013	05/10/2013	S	60,803	D	\$ 24.8311	126,546	D	
Common Stock							1,000	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Rt to Buy)	\$ 12.58 <u>(1)</u>	05/07/2013	05/07/2013	M		15,000		03/24/2005	03/24/2014	Common Stock	15,000
Employee Stock Option (Rt to Buy)	\$ 12.58 <u>(1)</u>	05/08/2013	05/08/2013	M		1,400		03/24/2005	03/24/2014	Common Stock	1,400
Employee Stock Option (Rt to Buy)	\$ 12.58 <u>(1)</u>	05/09/2013	05/09/2013	M		2,797		03/24/2005	03/24/2014	Common Stock	2,797
Employee Stock Option (Rt. to Buy)	\$ 12.58 <u>(1)</u>	05/10/2013	05/10/2013	M		60,803		03/24/2005	03/24/2014	Common Stock	60,803

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARLING PAUL D 201 E. SANDPOINTE AVENUE SUITE 800 SANTA ANA, CA 92707-6708	X		CEO	

Signatures

/s/Paul D. Arling, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated January 22, 2003 (attached)

08/15/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

(2) Correction on misreported Derivative Securities Beneficially owned on previous Form 4 filing due to a mathematical error

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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