#### SYNCHRONOSS TECHNOLOGIES INC

Form 4

November 05, 2013

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Washington, D.C. 20549

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Symbol SYNCHRONOSS

(Middle)

YNCHRONOSS

(Check all applicable)

TECHNOLOGIES INC [SNCR]

3. Date of Earliest Transaction

\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

Chief Accounting Officer

5. Relationship of Reporting Person(s) to

200 CROSSING BOULEVARD, SUITE 800

(First)

(Street)

4. If Amendment, Date Original

(Month/Day/Year)

11/01/2013

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Persor

Applicable Line)

Issuer

below)

BRIDGEWATER, NJ 08807

(City)	(State)	Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/01/2013		Code V M	Amount 178	(D)	Price \$ 14	16,300	D	
Common Stock	11/01/2013		M	1,500	A	\$ 27.55	17,800	D	
Common Stock	11/01/2013		S	3,178	D	\$ 34.32 (1)	14,622	D	
Common Stock	11/04/2013		M	500	A	\$ 30.55	15,122	D	
	11/04/2013		S	500	D	\$ 35.5	14,622	D	

### Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o Derivativ Security (Instr. 3)	Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	cionof Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 14	11/01/2013		M		178	12/01/2010(2)	12/01/2016	Common Stock	178
Stock Option (Right to Purchase		11/01/2013		M		1,500	12/07/2011(3)	12/07/2017	Common Stock	1,500
Stock Option (RIght Purchase		11/04/2013		M		500	12/06/2012(4)	12/06/2018	Common Stock	500

# **Reporting Owners**

Reporting Owner Name / Address			Relationships	
•	Director	10% Owner	Officer	Other
Rosenberger Karen 200 CROSSING BOULEVARD SUITE 800 BRIDGEWATER, NJ 08807			Chief Accounting Officer	

Reporting Owners 2

## **Signatures**

/s/ Karen Rosenberger

11/05/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The weighted average sales price is reported as stock sales were aggregated for reporting purposes. The per share transaction price ranged from \$34.28 to \$34.51. The reporting person will provide, upon request from an appropriate party, the per share sales volume and prices.
- The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after December 1, 2009. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.
- The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after December 7, 2010. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.
- The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person (4) completes 12 months of continuous service after December 6, 2011. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

#### **Remarks:**

\*\*\*All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan.\*\*\*

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3