Hortonworks, Inc. Form 3/A February 17, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Hortonworks, Inc. [HDP] **Â** Cunitz Herbert (Month/Day/Year) 12/11/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O HORTONWORKS. 12/11/2014 (Check all applicable) INC., 5470 GREAT AMERICA PARKWAY 10% Owner Director (Street) _X__ Officer _ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) President _X_ Form filed by One Reporting Person SANTA CLARA. CAÂ 95054 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Premier Trust, Inc., Trustee of the $0^{(1)}$ Common Stock I Cunitz 2014 Irrevocable Trust fbo Graham Cunitz Premier Trust, Inc., Trustee of the I Common Stock $0^{(1)}$ Cunitz 2014 Irrevocable Trust fbo Jaclyn Cunitz Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

or Indirect

(Instr. 5)

(I)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of

Shares

Reporting Owners

Relationships Reporting Owner Name / Address

Â

Director 10% Owner Officer Other

Cunitz Herbert

C/O HORTONWORKS, INC. 5470 GREAT AMERICA PARKWAY SANTA CLARA, CAÂ 95054

Â

President Â

Signatures

/s/ Herbert Cunitz

02/17/2015

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These holdings are herewith deleted because the Reporting Person did not have a pecuniary interest in shares held by this trust as of the date of the Form 3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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