

SYNCHRONOSS TECHNOLOGIES INC

Form 4/A

January 29, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hopkins Thomas J

2. Issuer Name **and** Ticker or Trading
Symbol
SYNCHRONOSS
TECHNOLOGIES INC [SNCR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
121 SUMMIT AVENUE, SUITE
210

3. Date of Earliest Transaction
(Month/Day/Year)
11/12/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)
SUMMIT, NJ 07901

4. If Amendment, Date Original
Filed(Month/Day/Year)
11/16/2015

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/12/2015		M		25,000	A	\$ 8.98	57,217	D	
Common Stock	11/12/2015		S		25,000	D	<u>\$ 36.9 (1)</u>	32,217	D	
Common Stock	11/12/2015		M		10,000	A	\$ 10.04	42,217	D	
Common Stock	01/12/2015		S		10,000	D	\$ 36.9	32,217	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Purchase)	\$ 8.98	11/12/2015		M	25,000	02/10/2007 ⁽²⁾⁽³⁾	02/10/2016	Common Stock
Stock Option (Right to Purchase)	\$ 10.04	11/12/2015		M	10,000	01/06/2010 ⁽²⁾	01/06/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hopkins Thomas J 121 SUMMIT AVENUE SUITE 210 SUMMIT, NJ 07901	X			

Signatures

/s/ Thomas J. Hopkins 01/29/2016

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The weighted average sales price is reported as stock sales were aggregated for reporting purposes. The per share transaction price ranged from \$36.51 to \$37.18. The reporting person will provide, upon request from an appropriate party, the pre share sales volume and prices.

Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4/A

- The option shall become exercisable with respect to the first 1/12th of the shares subject to the option when the Reporting Person
- (2) completes each month of continuous service after January 6, 2009. The option shall become exercisable with respect to an additional 1/12th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.
- The option shall become exercisable with respect to the first 1/4th of the shares subject to the option when the Reporting Person
- (3) completes each month of continuous service after January 10, 2007. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

Remarks:

All of the shares reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.