

3D SYSTEMS CORP

Form 4

May 17, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction
(Month/Day/Year)
05/16/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/16/2017		A	4,290 A	1,067,106	D	
Common Stock	05/16/2017		G	10,000 D	1,057,106	D	
Common Stock					176,944	I	By spouse ⁽²⁾
Common Stock					376,441	I	By The Loewenbaum 1992 Trust ⁽²⁾ ⁽³⁾
Common					33,279	I	By The Lillian

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Stock			Shaw Loewenbaum Trust <u>(2)</u> <u>(3)</u>
Common Stock	5,100	I	By Anna Willis Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock	5,100	I	The Elizabeth Scott Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock	60,000	I	By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(4)</u>
Common Stock	55,565	I	By The Waterproof Partnership, L.P. <u>(2)</u> <u>(5)</u>
Common Stock	67,500	I	Blanco Cattle & Ranch, LLC <u>(6)</u>
Common Stock	11,703	I	Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 <u>(2)</u> <u>(7)</u>
Common Stock	40,868	I	The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(4)</u>
Common Stock	27,538	I	The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730	X

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact 05/17/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- A total of 4,290 shares were awarded to the Reporting Person. Of those shares, 3,000 shares were awarded to the Reporting Person
- (1) pursuant to the 2004 Restricted Stock Plan for Non-Employee Directors, and the Reporting Person purchased these shares at par value, or \$0.001 per share; and 1,290 shares were awarded to the Reporting Person pursuant to the Issuer's 2015 Incentive Plan.
 - (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
 - (3) Mr. and Mrs. Loewenbaum serve as Trustees.
 - (4) Mr. Loewenbaum serves as Trustee.
 - (5) Mr. and Mrs. Loewenbaum are the general partners.
 - (6) The limited liability company is owned 100% by Mr. Loewenbaum.

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(7) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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