

Rozow Stephen  
Form 3  
August 03, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Rozow Stephen                           |         | (Month/Day/Year)                     | NUVASIVE INC [NUVA]  |  |
| (Last)                                    | (First) | (Middle)                             | 08/01/2017   |  |
| 7475 LUSK BLVD.                           |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| SAN DIEGO,Â CAÂ 92121                     |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | EVP, Global Process  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 2,686   | D <sup>(1)</sup>   | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of  |   |

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|                     |                  |                  |                 | Shares |      | (I)<br>(Instr. 5) |   |
|---------------------|------------------|------------------|-----------------|--------|------|-------------------|---|
| RSUs <sup>(2)</sup> | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Common<br>Stock | 3,359  | \$ 0 | D                 | Â |
| RSUs <sup>(2)</sup> | Â <sup>(4)</sup> | Â <sup>(4)</sup> | Common<br>Stock | 4,801  | \$ 0 | D                 | Â |
| RSUs <sup>(2)</sup> | Â <sup>(5)</sup> | Â <sup>(5)</sup> | Common<br>Stock | 2,643  | \$ 0 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| Rozow Stephen<br>7475 LUSK BLVD.<br>SAN DIEGO, CA 92121 | Â             | Â         | Â EVP, Global Process | Â     |

## Signatures

/s/ Nathaniel B. Sisitsky, Attorney-in-fact for Stephen Rozow 08/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive shares pursuant to Issuer equity awards that have not yet vested.
- (2) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.  
This RSU award was granted to the Reporting Person on May 1, 2015. In accordance with the terms of the RSU award, the award vested as to 1,680 shares on each of May 1, 2016 and May 1, 2017, will vest as to 1,680 shares on May 1, 2018 and will vest as to 1,679 shares on May 1, 2019.
- (3) This RSU award was granted to the Reporting Person on March 1, 2016. In accordance with the terms of the RSU award, the award will vest in full on March 1, 2019.
- (4) This RSU award was granted to the Reporting Person on March 1, 2017. In accordance with the terms of the RSU award, the award will vest in full on March 1, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.