DOWNES ADRIAN J Form 4

April 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

obligations

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

DOWNES ADRIAN J Symbol

GREENBRIER COMPANIES INC

(Check all applicable)

SVP & CAO

[GBX]

03/30/2018

(First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director X_ Officer (give title below)

Other (specify

10% Owner

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

C/O THE GREENBRIER COMPANIES, INC.,, ONE CENTERPOINTE DRIVE, SUITE

200 (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

LAKE OSWEGO, OR 97035

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

any

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

Ownership Form: Direct (D) or Indirect

7. Nature of **Indirect Beneficial** Ownership (Instr. 4)

(A) or Code V Amount (D) Price Reported (I) Transaction(s) (Instr. 4)

Ι

(Instr. 3 and 4)

By Reliance Trust Company as Trustee for Nonqualified

Common

stock -03/30/2018 **GBX**

M 2,083 \$0 A 28,809

Deferred Compensation Plan for

Employees

3,051 D

1

Common stock -

GBX

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deri Secu (Inst |
|---|---|---|---|--|--|--|--------------------|---|--|--------------------------------|
| | | | | Code V | and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (1) | 03/30/2018 | | M | 2,083 | <u>(2)</u> | (2) | Common Stock | 2,083 | 5 |

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

DOWNES ADRIAN J C/O THE GREENBRIER COMPANIES, INC., ONE CENTERPOINTE DRIVE, SUITE 200 LAKE OSWEGO, OR 97035

SVP & CAO

Signatures

s/ Adrian J. Downes By Feng C. Grove Attorney-in-fact

04/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of GBX common stock.

Reporting Owners 2

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Pursuant to the terms of the Restricted Stock Unit Award Agreement dated March 30, 2016, 2,083 restricted stock units vested on March 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.